



# Graduate Diploma in Financial Planning

## Syllabus summary

### Principles and Ethics of Personal Financial Planning (NFQ Level 9\*, 10 ECTS\*\*)

This module provides you with a framework to understand two core elements underpinning financial planning: the regulatory and ethical requirements and the responsibilities of the financial planner. It addresses the broad calculation principles underpinning financial planning products. It also deals with the critical matter of client risk tolerance and how it can be measured and integrated into personal financial planning solutions.

### Tax and Estate Planning (NFQ Level 9\*, 10 ECTS\*\*)

This module introduces you to the fundamentals of the Irish taxation system and international aspects of taxation relevant to financial planning and wealth management. It covers the key taxation provisions and reliefs of the Irish tax legislation relevant to succession and estate planning which are significant for the financial planner.

\*National Framework of Qualifications

\*\*European Credit Transfer System

### **Retirement Planning (NFQ Level 9\*, 10 ECTS\*\*)**

This module deals with all aspects of retirement provision, public and private, relevant to providing financial advice. It provides you with a macro view of the regulatory environment for pensions. It explores in detail a range of issues including the structure and investment of private arrangements for groups and individuals, insured and non-insured.

### **Asset Management (NFQ Level 9\*, 10 ECTS\*\*)**

An understanding of robust financial planning and wealth management is an important pre-requisite for meeting customers' financial and lifestyle objectives. This module covers core concepts in modern portfolio theory and marries them with the practical application of tools and techniques to construct and manage client portfolios. It assimilates a range of theoretical and empirical evidence to provide the best solutions when advising customers on investment and wealth management.

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### **Financial and Risk Management (NFQ Level 9\*, 10 ECTS\*\*)**

The predominant part of this module deals with the important topic of risk management. It covers the key risks that customers face through their financial life cycle, such as mortality and illness risk, investment risk and longevity risk. The aim is for you to complete the module with strongly grounded, well thought-out solutions appropriate to client issues.

### **Integrated Personal Financial Planning (NFQ Level 9\*, 10 ECTS\*\*)**

This is the capstone module of the graduate diploma and has been designed to integrate the learning from the other programme modules. It aims to develop the analytical and synthesis skills required by financial planners when providing comprehensive financial plans. This will be achieved through your active development of a number of case studies and the construction of a comprehensive real-life financial plan.

*\* Before registering to this module, candidates must have completed the first four modules and be registered for/have completed the Financial and Risk Management module.*