Prospectus

International Financial Services
2023—2024

funds by iob

A recognised college of UCD

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Welcome

Mary O'Dea
Chief Executive, IOB

I am delighted that you are considering studying with IOB. Whether you're taking your first step in further education or seeking out new paths to explore, IOB is here to support you on your journey.

We have a long and proud history of supporting financial services professionals to gain the skills, knowledge and expertise required to succeed in this dynamic industry.

This year IOB is celebrating its 125th anniversary. Formed in 1898 with just 719 members, IOB is now a community of over 32,900 financial services professionals across Ireland.

Throughout its history, IOB has continuously adapted as the financial landscape evolved. Today we offer over 40 UCD accredited education programmes, delivered mostly online. One of the great benefits of online learning is that it makes our education programmes accessible to those living throughout Ireland and further afield.

As Ireland's funds sector continues to grow and develop across the country, IOB is proud to support professionals working in the funds industry to realise their potential and to achieve their career goals with our portfolio of specialised programmes.

At IOB, we believe in the power of education. Learning can build your confidence, unlock your potential and spark new ideas and innovations. We look forward to welcoming you to the IOB community, where you will gain the knowledge and skills to make an immediate and lasting impact in your career.

Good luck with your studies!

When you study with us, you become more than a student.

As an IOB member you can enjoy access to:

Community

Connect with Ireland's largest professional membership community with over 32,900 members.

Events

Access over 100 online and in-person quality events each year featuring industry leaders and experts.

IOB Learn

With hundreds of webinars, videos and resources on our innovative learning platform for members, it's easy to stay up to date with an industry in transformation.

Career support

Achieve your career goals with access to recruitment experts and practical career content and resources.

IOB Learn

Your personalised learning platform and mobile app

Access exclusive content

Review the latest industry insights and thought leadership on financial services. Follow content channels in topics such as risk, compliance, digital innovation and ESG to stay up to date with sector trends and best practices.

Learn at your own pace

Learn at a time and pace that suits you with the full library of archived IOB events and webinars. IOB Learn is your ticket to the conversations that matter.

Explore your module and CPD resources

Find your module materials, resources and CPD content for professional designations on one dedicated platform.

Lifelong learning

IOB has the largest continuing professional development community in Ireland with 23,800 registered members, committed to lifelong learning.

Why are professional designations important?

Your badge of excellence

An endorsement of your academic qualifications, knowledge and experience.

Stay up to date with industry change

Our dedicated events and content for designates helps you keep updated.

Regulatory Compliance

Meet the expectations of customers and regulatory requirements.

International recognition

Several of our designations are leading international designations with world-wide reputation.

Be recognised as an Accredited Funds Professional

The Accredited Funds Professional (AFP) is a designation developed by IOB in conjunction with Irish Funds. For holders of this designation, it is an industry badge of excellence demonstrating the holder's funds expertise, client focus and professional standing, supported by lifelong learning. For employers in the funds industry, their talented people hold a title that is an external validation of professional competence and commitment to lifelong learning. Support for the designation will also distinguish Ireland's investment funds industry amongst its global peers and differentiate Ireland as a leading fund services jurisdiction and sustain Ireland's world ranking for its people talent.

The designation provides an external validated badge of excellence and an endorsement of academic qualifications, professional knowledge and experience. It fulfils an action set out in Ireland for Finance 2025 and as noted by Pat Lardner, Chief Executive of Irish Funds. "This designation will better prepare us for the future and further underlines Ireland's credentials as a global funds centre which serves investors from 90 countries."

The AFP is available to those with the requisite academic qualification or relevant funds industry experience. It is maintained by completing 10 hours of Continuing Professional Development (CPD) annually. IOB develops a suite of CPD material, designed to ensure that AFPs receive high quality information on contemporary and emerging topics, guided by feedback from the industry.

IOB events and fund related programmes also qualify and CPD hours are also available for all Irish Fund events and for in-house company training on funds topics.

The suite of CPD material developed by IOB and currently available to AFPs, via IOB Learn, includes:

- eCPD modules on: Ethics; Currency Hedging programmes; Outsourcing; Crypto Currencies; Value in Funds; and Legal and Regulatory updates
- Regulatory Insights Sessions
- Live funds events during the year

"The CPD offering from IOB is exceptional and keeps me abreast of regulatory changes as they happen. The requirement for CPD hours under AFP is 10 hours, I find this really easy to achieve throughout the year and find myself listening and watching further sessions just because they are interesting and relevant to my role. The CPD system records all hours logged automatically which is good too!"

James Lynch ACA AFP LIB
Harmonic Fund Services

125

1898 | 2023

Celebrating 125 years of learning

This year IOB is celebrating its 125th anniversary. In May 1898, a small group of financial services professionals met in Dublin to form a new institute to help all those working in the profession to further their knowledge and network with their peers.

Even in those early days, financial services professionals shared a belief that education could be a powerful way to share knowledge and improve standards within the profession.

All this year we will be marking this big milestone with our community of over 32,900 members as we celebrate 125 years of learning, knowledge sharing and improving standards for customers, clients and society.

Together we are shaping the future of financial services.

Your team

Who we are

IOB offers relevant, university accredited and portable qualifications to help your career. Our programmes are developed in conjunction with the industry. Contact us with any questions you may have as you choose your programme or throughout your studies with us.

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Programme Director

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Martina Kelly
Director - Funds

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About us

IOB was founded in 1898.

There are now over 32,900 members of IOB.

Our faculty include academics and industry experts.

In the academic year 2022-2023, in excess of 700+ students from International Financial Services studied with us.

Over 75 Irish Funds member firms have had employees take a qualification with IOB.

When graduating from an accredited programme, you will receive your academic award from University College Dublin (UCD).

Our Goal, Purpose and Vision

Our Goal

In our chosen markets and sectors, to be the leading, progressive, authoritative expert in financial services education by combining academic rigour and practical application.

Our Purpose

Educate, enable and empower a community of professionals to achieve their full potential in delivering financial services to the highest standards with better outcomes for their customers, clients and for society.

Our Vision

An ethical, sustainable and continuously learning financial services community that contributes to a thriving economy.

Our Partners

Our programmes are offered in partnership with:

irish funds | Compliance Institute BETTER BUSINESS ETHICS | IFS Skillnet

Our programmes are closely aligned to the needs of the international investment fund community through our work with Irish Funds.

Designed for you

Our part-time programmes are designed for those who are working and studying. You study with us online from any location that suits you.

Globally, our programmes run in;

- Bangalore
- Belgium
- Gdansk
- Krakow
- Luxembourg
- Manilla
- Pune
- Warsaw
- Wroclaw

Funding available through IFS Skillnet

Membership benefits

Companies operating within the international financial services sector, or providing services to the sector, can join IFS Skillnet in order for their employees to participate in training and education which is jointly funded by the companies and IFS Skillnet. Grant funding of up to 30% is available to eligible companies.

About IFS Skillnet

The IFS Skillnet is co-funded by Skillnet Ireland and member companies. The IFS Skillnet programme is dedicated to providing training and networking opportunities for the international financial services sector. The Network aims to support the sector in maintaining Ireland's position as a top international financial services centre through investment in the specialist skills and expertise of its workforce. The Network is led by a steering group which includes member companies and the leading financial services industry associations.

Network activity

The IFS Skillnet was established in mid-2009. Since then we have delivered over 2,000 courses to 15,000 plus participants from 430 companies operating in the international financial services sector in Ireland.

We support our members to upskill in areas such as digitalisation, ESG, leadership, people skills, project management and regulatory compliance.

Benefits for employers

- Avail of a comprehensive range of specialist courses designed for the international financial services sector
- Opportunity to significantly reduce training costs through the availability of grant funding
- Provides the opportunity to work with education and training providers to update and amend course content in line with market developments
- Ensure your employees acquire the latest skills to maintain your organisation's competitiveness
- Opportunity to network and collaborate with other member companies.

How to get involved

- Sign up for free at: www.ifsskillnet.ie
- Telephone: +353 (0)1 605 1546
- Email: info@ifsskillnet.ie
- Tweet us: @ifsskillnet
- LinkedIn: @ifsskillnet

For further information you can contact

Claire Madigan
Network Executive

IFS Skillnet
84-86 Lower Baggot Street
Dublin 2

W: www.ifsskillnet.ie

International Financial Services Skillnet is co-funded by Skillnet Ireland and network companies. Skillnet Ireland is funded from the National Training Fund through the Department of Further and Higher Education, Research, Innovation and Science.

Skillnet IRELAND

An Roinn Breisoideachais agus Ardoideachais, Taighde, Nuálaíochta agus Eolaíochta
Department of Further and Higher Education, Research, Innovation and Science

Co-funded by the European Union

Your faculty

Killian Buckley

Killian is an investment management industry veteran with over 20 years' experience. With an original background in corporate finance and transaction work, Killian joined the regulatory boutique start-up Kinetic Partners as an equity partner in 2005, establishing the Irish office that year.

Following the purchase of Kinetic by Duff & Phelps in 2015, Killian acted as a Managing Director in the Compliance and Regulatory Consulting unit, as well as Head of Management Company Solutions, responsible for the global ManCo services out of Ireland and Luxembourg.

Killian has been privileged to act as Director, Designated Person and MLRO for some of the top investment management firms globally. Killian established Martello Advisory in 2019. Killian is a well known and frequent speaker at events and contributor to thought leadership in the industry. He has sat on a number of industry stakeholder groups interacting with regulatory authorities and government agencies. He is also a previous Chair of the Irish Funds Marketing committee.

Mags Connolly

Originally from London, Mags has worked in Financial Services in both Ireland and the UK for over 25 years. With a Degree in Accounting and CIMA qualified, she has held many roles in the industry including Fund Accounting, Project Management, Management Accountant and Learning & Development - the latter supported by a MA in Teaching and Learning from WIT and a Cert in Technology Enhanced Learning from NUI Galway.

Mags joined the team at IOB in 2009 and has lectured mainly on the Professional Certificate in International Investment Fund Services as the Fund Accounting lecturer. She has experience with IOB as a lecturer, an examiner and an online content creator. Mags currently works for Carne Group as the Head of Talent Development.

Larry Gordon

Larry has over 30 years' experience in banking and financial markets as a Treasurer and as Head of Relationship Management with a number of international financial institutions.

Larry, who has an MSc in Investment and Treasury, is a Chartered Banker and Fellow of IOB. He is a past president of ACI Ireland - The Financial Markets Association.

Larry is a fellow of the Irish Institute of Training & Development (FIITD), Train the Trainer (QQI 6) and Member of the Association for Coaching (MAC).

Kevin McConnell

Kevin, a Chartered Financial Analyst, is an external specialist consultant in the banking and investment sectors with over 25 years' experience in financial markets. Working with banks, regulators and investors in Credit, Capital, Stress Testing and Strategy, Kevin has experience in over a dozen regulatory jurisdictions across Europe, US and Emerging markets.

Kevin has worked through a range of mid-tier to top tier M&A transactions providing stress testing/due diligence consultancy, and transaction advisory. He was a member of Committee on Collective Investment Governance (CCIG) of Central Bank of Ireland (2014) which supported the development of CP86.

Kevin is currently a member of the Advisory Committee of the Certified Investment Fund Director Institute. He developed and delivers the postgraduate programmes in Alternative Assets at IOB (UCD), in Wealth Management in the Masters in Finance at Trinity College Dublin, and on the Certified Bank Director programme in Ireland and UK.

Kevin is also deputy chair of the Irish platform one of the largest CLO originators in Europe and is chief executive of GEM Strategic, a specialist consultancy firm.

Keith Parker

Keith has been working in the financial services industry for the past 26 years. Currently, Keith is Head of Business Development at Crossroads Capital Management, a third-party UCITS Management Company and Alternative Investment Fund Manager.

Keith has extensive experience advising fund managers looking to establish a regulated UCITS/AIF. Keith has a number of academic qualifications including the Professional Diploma in Applied Alternative Investments.

John Sienna

Based in London, John is Associate General Counsel, Co-Head Global Regulatory Strategy, for Brown Brothers Harriman (BBH), a New York head quartered financial services provider with operations word-wide. John for many years has lectured on financial services law at Leiden University, The Netherlands, and King's College, London, as well as in Ireland's Certified Investment Fund Director Institute. John recently has co-written the book, Financial Collateral, published by Oxford University Press (2020), and contributed to The Alternative Investment Fund Managers Directive, Wolters Kluwer, 3rd Ed. (2020).

John chairs the Association of Global Custodians - European Focus Committee (AGC-EFC) and in this capacity represents the custody industry to EU, national and global competent authorities and supervisory bodies in connection with all post-trade and related matters. John sits on cross-industry steering and working groups as well as the European Central Bank's new Corporate Events Group (CEG) and the American Bar Association's Business Law Section Task Force on Securities Holding Infrastructure. Recent focus has been particularly on digital ledger technology and cryptoasset regulation and law affecting the post-trade sector in the EU, UK, the U.S. and Japan.

John formerly was Head of Asia Legal at BBH; Head of External and Regulatory Affairs, EMEA, at BNY Mellon; Assistant General Counsel, EMEA, at Brown Brothers Harriman; and Senior Legal Counsel at The Northern Trust Company. John is admitted to the Illinois Bar (1988)

Giles Swan

Giles has over 20 years' experience in the investment fund industry as a regulator and the European policy director of an investment fund industry association. Giles chaired an ESMA task force negotiating the Alternative Investment Fund Managers Directive, has been a national representative on ESMA's Investment Management Standing Committee and a national expert in the Council of Ministers.

Giles holds a BA in Banking and Finance, first class, from London Guildhall University and an MSc in Finance and Investment from CASS Business School.

Richard Wane

Richard is an asset management industry professional. Over a 30 year career he has held a variety of leadership roles across legal, compliance and business areas, principally at The Vanguard Group (2008-2021) and Fidelity International (1996-2008). Richard qualified as a Solicitor (England & Wales) in 1991 and has a BA (Jt Hons) in Law and Politics from Durham University.

Over the course of his career, Richard has held several positions requiring regulatory approval in the UK, Hong Kong, Singapore and Ireland, most recently as Chief Executive Officer of Vanguard's Dublin office. He has also served as a Director on a number of company boards.

Mark White

Mark is a partner and Head of the Investment Management Group at McCann FitzGerald. He advises a wide variety of clients who are engaged in the promotion and management of all types of investment funds including UCITS funds, hedge funds and all types of private fund strategies, including private equity, infrastructure, credit and real estate funds. Mark also advises UCITS management companies and AIFMs on their duties and obligations under fund management company legislation and regulation. He works with many of the largest fund managers in the international and domestic funds market, as well as with large institutional and seed investors, such as pension schemes and government or state bodies. Mark also provides advice on investment business regulation and financial services law.

Mark is heavily involved in many Irish Funds working groups and is an active participant in industry submissions made to the Central Bank regarding product development and evolution. He was a leading member of the Industry legislative working group (and chaired the regulatory working group) which was involved in the overhaul of Ireland's limited partnership regime. He is also a regular speaker at IBA and Irish Funds conferences and seminars.

Mark is regarded as a leading lawyer in the Irish funds market, having been ranked a Band 1 lawyer by all major legal directories for the past number of years.

Pathways to programmes you may be interested in

If you have completed:	You may also be interested in:			
Professional Certificate in International Investment Funds Services	Professional Certificate in Complex Financial Instruments in International Financial Services	Professional Diploma in Applied Alternative Investments	Professional Certificate in Digital Financial Services and Data Analytics	Professional Certificate in Consumer Protection Risk, Culture and Ethical Behaviour in Financial Services
Professional Certificate in Complex Financial Instruments in International Financial Services	Professional Certificate in International Investment Fund Services	Professional Diploma in Applied Alternative Investments	Professional Certificate in Digital Financial Services and Data Analytics	Professional Certificate in Consumer Protection Risk, Culture and Ethical Behaviour in Financial Services
Professional Diploma In Applied Alternative Investments	Professional Certificate for Designated Persons in a Fund Management Company	Pathway to MSc in Financial Services	Professional Diploma in Advanced Operational Risk Management in Financial Services	Professional Diploma in Leading Cultural Change and Ethical Behaviour in Financial Services
	Professional Diploma in Data and Analytics in Financial Services	Professional Diploma in Digital Transformation in Financial Services	Certified Investment Fund Director	
Professional Certificate for Designated Persons in a Fund Management Company	Professional Diploma in Applied Alternative Investments	Certified Investment Fund Director		

Short Courses – An Overview

The IOB suite of short courses introduce a range of emerging topic areas in Banking, Financial Services and Funds. They are open to all regardless of previous education, and delivered online, therefore they can be accessed from anywhere and taken at your own pace.

These courses cover the full spectrum of emerging opportunity across the industry, including; Digital, Funds, Leadership, Retail and Risk. Each course will provide you with a grounding in a specific topic area. In doing so, it will allow you explore your interest and enable a realistic evaluation of possible career opportunities.

Each course will provide the opportunity to connect with faculty and network with peers. It will enable you to develop transferrable skills and advance your career. On completion, you will have the confidence to progress to the next level via the IOB full suite of UCD accredited programmes, should you wish to do so.

If you have an interest in an emerging topic, an IOB short course is the ideal 'taster' to get started!

Masterclasses

IOB will also deliver a suite of masterclasses related to funds and asset management throughout the year and these will be advertised as they become available. Many of these short programmes are related to the six individual managerial functions within Fund Management Companies as identified by the Central Bank of Ireland and will include a focus on the role of the relevant Designated Person. Others address important topics for the industry such as the management of liquidity risk in funds. Each masterclass aims to determine and develop best practice standards in the area of focus and you are encouraged to contribute your views and engage in active discussion in order to achieve that aim.

Short Courses

Regulatory Reporting in ROI

Regulatory Reporting Professional

Digital Banking Revolution

Inside out Leadership: A next generation programme for women leaders

Regulatory Reporting in ROI (Regulatory Reporting Professional)

Modules: 6
Delivery: Online
Awarding body: IOB
Designation: Regulatory Reporting Professional

IFS Skillnet

Who is this course for?

This course is designed to provide you with core knowledge with respect to the risks within the banking sector that the regulators are monitoring via the regulatory returns. It will also provide you with regulatory reporting skills to ensure compliance with the regulatory demands for more detailed and robust regulatory reporting.

The course comprises of six one-day practical modules with each module examining the main characteristics of each principal risk area, the key regulations which are in force and the applicable reporting requirements. Students will gain new, practical skills associated with the mechanics of regulatory reporting, and be able to apply them immediately.

How you will benefit

At the end of the course you will be able to:

- Articulate the main areas of risks in banking that regulation seeks to mitigate
- Explain the regulatory rationale underpinning the reporting requirements associated with the risks in banking
- Explain the main regulatory reporting compliance requirements, including Capital Requirements Regulation (CRR) reporting requirements
- Describe the mechanics of regulatory reporting
- Explain the interrelatedness of the regulatory requirements and how they relate to the overall financial condition of a bank.

Curriculum

You study six modules:

1. **Regulatory Reporting Environment**
2. **CoRep & Related Returns**
3. **Statistical Reporting**
4. **Liquidity Returns**
5. **FinRep Returns**
6. **Other Regulatory Returns**

The course is delivered on a part-time basis and each module will take place over six days.

Award/Designation

When you successfully complete this course, you will be invited to the relevant designation and CPD scheme. The designation is maintained through completion and return of annual CPD hours.

Delivery and Assessment

This course is delivered online, please visit iob.ie/programme/regulatory-reporting-in-roi for details on the assessment methods.

Entry requirements

There are no specific entry requirements for this course.

IFS Skillnet

Part funding may be available for eligible applicants through IFS Skillnet. For more information see iob.ie/info/supports-and-scholarships

Professional body membership

You must be a current member of IOB, or become a member, to undertake this course.

How to enrol

For further information, including module content, fees, closing dates and to enrol online, visit iob.ie/programme/regulatory-reporting-in-roi

Contact us

Aisling O'Sullivan
Programme Manager
E: education@iob.ie

Digital Banking Revolution

Modules: 6
Delivery: Online
Awarding body: IOB

Who is this course for?

Responding to rapidly changing customer expectations, new digital technologies, new competition and the need to achieve greater efficiencies, banks and financial services firms are transforming to digital organisations of the future.

This engaging online course has been created to allow you to navigate the complex digital landscape and is designed for everyone who works in banking and financial services.

The purpose of this course is to describe the digital transformation that is underway and provide an appreciation of banking in this new reality. The course outlines what customers expect and explains key digital technologies and the powerful new digital tools. It sets out the conduct of business obligations in the provision of products and services in the digital environment and the importance of ensuring security and customer trust. It provides insights on how the nature of work in a customer centric digital organisation is changing and what future developments are coming down the track.

How you will benefit

On completion of this course you will:

- Understand digital business and its transformational impact on banking and financial services
- Describe the main elements of the digital financial services ecosystem, identify the competitive opportunities and threats
- Appreciate changing customer expectations and the implications for products and services and the customer experience
- Understand the conduct of business obligations to customers in digital financial services
- Understand the cyber threats and the responsibilities of digitally enabled financial services organisations and employees in ensuring security and customer trust
- Appreciate emerging developments and trends.
- Be equipped to hold conversations confidently with colleagues and customers about banking and financial services in a new digitally evolved reality.

Curriculum

You study six modules:

1. **The New Reality**
2. **What Customers expect in the Digital Age**
3. **Conduct of Business Obligations in Digital Financial Services**
4. **Security and Trust**
5. **The Digital Financial Services Ecosystem**
6. **What's Next - Future Developments**

Award

When you successfully complete this course, you will be awarded a digital certificate from IOB.

Delivery and Assessment

This course is delivered online, please visit iob.ie/programme/digital-banking-revolution for details on the assessment methods.

Duration

Minimum three hours.

You can enrol for and complete the course any time that suits you.

Entry requirements

There are no specific entry requirements for this course.

Professional body membership

You must be a current member of IOB, or become a member, to undertake this course.

Continuing Professional Development (CPD)

One CPD hour will be awarded to holders of the QFA, Professional Banker and Chartered Banker designations on completion of the following modules:

- Conduct of Business Obligations in Digital Financial Services (30 minutes)
- Security and Trust (30 minutes)

How to enrol

For further information, including module content, fees and to enrol online, visit iob.ie/programme/digital-banking-revolution

Contact us

Sinéad O'Reilly
Programme Manager
E: education@iob.ie

Inside out Leadership: A next generation programme for women leaders

Modules: 6
Delivery: Blended
Awarding body: IOB

Who is this course for?

IOB is delighted to partner with WomanUp to bring you this unique leadership programme that is specifically designed for women working in the financial services sector, to give you the skills and confidence to succeed in this rapidly changing, ever evolving word.

The programme is designed for women at key inflection points in their careers and who face unique challenges in progressing to more senior roles compared with their male colleagues. It guides them to lead from within by overcoming inhibitors, identifying their strengths and taking control of their career journeys, while also enhancing their leadership skills and technical knowledge.

The programme involves

- Six highly interactive modules
- Pre and post-module resources and tasks designed to deepen knowledge and understanding
- A report and analysis of each participant's leadership attributes and styles, i.e. using Life Styles Inventory (LSI) tool which has helped millions of people understand and change their thinking and behavioural styles to increase their effectiveness
- 1-1 coaching with an Executive Coach designed to support participants in interpreting their LSI reports, identifying blockages and behavioural changes while also embedding the programme learnings
- Self-guided reflective exercises and processes to capture the learnings and articulate visually where participants want to take their career.

How you will benefit

On completion of this programme, you will be able to:

- Establish a clear plan to progress your career while reconciling work and personal responsibilities
- Develop heightened self-awareness of your default behaviours and the changes you need to make to have more impact and lead authentically
- Understand the barriers that prevent you from progressing to more senior roles
- Discuss the key finance and risk considerations that are pertinent to the financial services sector now, and in the future
- Develop the key future leadership skills needed in financial services including Digital, Data and Sustainability
- Analyse the key strategic considerations facing financial services including the competitor landscape, threats and opportunities
- Have greater insight and knowledge on key technical areas required to lead within the financial services sector
- Create new networks through the programme that will help both your professional and personal development.

As a consequence, financial services firms will have a broader, more diverse pool of candidates for leadership roles.

Curriculum

You study six modules:

1. **Looking Inwards: Changing Behaviours and Enhancing Leadership Impact**
2. **Sustainability, Data and Digital**
3. **Looking Outwards: Developing your Personal Brand and Networks**
4. **Finance and Risk**
5. **Culture and Strategy**
6. **Looking Forward, becoming more Strategic, Visionary and Resilient**

Award

When you successfully complete this programme, you will be awarded with a digital certificate from IOB.

Delivery and Assessment

This programme is delivered on a part-time basis over a 20 week period.

Entry requirements

The programme is designed for women at key inflection points in their careers and who face unique challenges in progressing to more senior roles compared with their male colleagues.

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

Express an interest

For further information, including module content, fees, closing dates and to express an interest online, visit iob.ie/programme/inside-out-women-leaders

Contact us

Edel Hayden
Programme Manager – Executive Education

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Accredited Programmes – An Overview

IOB is a recognised college of University College Dublin (UCD). All IOB educational programmes at Levels 7, 8 and 9 are accredited by UCD and result in a Professional Certificate, Diploma, Degree, Postgraduate Diploma or Master's qualification awarded by UCD. All programmes, via UCD, are on the National Framework of Qualifications (NFQ) and so are nationally and internationally recognised.

Programmes on the NFQ are classified by level. The level gives the standard of the programme learnings: Level 7 - Ordinary Degree; Level 8 - Honours Degree and Level 9 - Master's Degree standard. Moving up the levels requires more advanced learning from the student – from knowledge acquisition at Level 7; through analysis at Level 8; to critical evaluation at Level 9.

At each level awards can also vary, depending on the amount of study and student input required. Student input is measured by European Credit Transfer System (ECTS) credits – standard units of student learning. Awards are classified by the total number of ECTS credits into award types as follows:

- Professional Certificate programmes comprise from 5 ECTS credits up to 20 ECTS credits
- Professional Diploma programmes comprise from 20 ECTS credits to 30 ECTS credits
- Postgraduate Diploma programmes comprise 60 ECTS credits
- Master's Degree programmes comprise 90 ECTS credits

The following sections of the prospectus give both the award level and award type for each programme. This information will allow students to access the degree of challenge and the amount of input required of each programme.

University Qualifications (Level 7)

- Professional Certificate in International Investment Fund Services (Accredited Funds Professional)
- Professional Certificate in Complex Financial Instruments in International Financial Services
- Professional Certificate in Digital Financial Services and Data Analytics
- Professional Certificate in Digital Risk, AML and Financial Crime Prevention
- Professional Certificate in Responsible and Sustainable Finance
- Professional Certificate in Compliance
- Professional Diploma in Compliance (LCI)
- Professional Certificate in Consumer Protection Risk, Culture and Ethical Behaviour in Financial Services
- Professional Certificate in FinTech

Professional Certificate in International Investment Fund Services (AFP)

NFQ: Level 7
Academic credits: 15 ECTS
Modules: 3
Delivery: Online
Awarding body: UCD
Designation: AFP

IFS Skillnet | irish funds

Who is this programme for?

The Professional Certificate in International Investment Fund Services is a unique and specific programme, designed to support the international investment funds industry.

The programme will be of particular interest and relevance to those working in, and those seeking to work in the investment funds industry and to newly practising professionals working in the asset management and investment funds area of the legal and auditing advisory firms.

Reflecting industry practise and developments

Designed and delivered by the industry, the Professional Certificate in International Investment Fund Services is the acknowledged qualification of, and for, the international funds industry. A three module programme, the first module, Introduction to Investment Funds, introduces and contextualises the fund as an investment product, discusses the investment fund from its establishment right through its life cycle and the various involvements and interjections through that life cycle, including the importance of ESG and Sustainable investing in the funds industry and the increasing emergence of Digital Assets. The Fund Accounting and Valuation for International Investment Funds module outlines the accounting and valuation aspects of investment funds, including the accounting statements for funds, the calculation of the Net Asset Value (NAV) and the importance of and controls around ensuring an accurate NAV, Exchange Traded Funds and their ever growing significance in the industry. The third module, Depositary Functions and Transfer Agency, examines the operation and the roles and responsibilities of the depositary and transfer agency functions for international investment funds.

How you will benefit

The overall aim of the programme is to introduce, discuss and describe the investment fund from its inception right through to its termination detailing the functions and parties to a fund; the roles, responsibilities and activities of all the parties to the fund and how each area interacts with each other. It will enable you to:

- Describe the functions, objectives, structures and types of investment funds
- Explain the legal and regulatory environment for investment funds including investor classifications and risk controls
- Discuss the role of outsourcing and specialist servicing jurisdictions in the international fund industry
- Understand the fundamental accounting principles, standards and the financial statements of an investment fund
- Calculate the Net Asset Value (NAV) for single and multi-share class funds and understand the importance of an accurate NAV and the controls surrounding its calculation
- Describe and understand the critical role and responsibilities of the depositary and transfer agent in the global investment funds industry
- Explain the main custody and shareholder services including cash management, dealing, settlements registration, investor trade processing and investor-level fee calculations
- Discuss and have a strong awareness of Anti-Money Laundering, Common Reporting Standards (CRS) and other major international industry initiatives and developments.

This programme is offered in partnership with Irish Funds (IF).

Curriculum

You study three modules:

1. **Introduction to Investment Funds**
2. **Fund Accounting and Valuation for International Investment Funds**
3. **Depositary Functions and Transfer Agency**

Each module carries 5 ECTS (academic credits).

Award/Designation

When you successfully complete this programme, you will be awarded a Professional Certificate in International Investment Fund Services from UCD and will be invited to the relevant designation.

This is a level 7 qualification on the National Framework of Qualifications.

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/investment-fund-services-cert for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Certificate in International Investment Fund Services are as follows:

- Five passes in the Leaving Certificate, including English and Mathematics
- Five O Level or GCSE passes, including English Language and Mathematics
- IOB will also consider applications on a mature candidate basis from applicants who are 23 years of age before the date of their application for admission to the programme.

IFS Skillnet

Part funding may be available for eligible applicants through IFS Skillnet. For more information see iob.ie/info/supports-and-scholarships

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to enrol

For further information, including module content, fees, closing dates and to enrol online, visit iob.ie/programme/investment-fund-services-cert

Contact us

William Lawless
Programme Manager
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Professional Certificate in Complex Financial Instruments in International Financial Services

NFQ: Level 7
Academic credits: 10 ECTS
Module: 1
Delivery: Online
Awarding body: UCD

IFS Skillnet | irish funds

"This programme is up to date with what is currently happening in the market and was invaluable in terms of deepening my understanding of various types of derivatives such as futures, options and swaps. I can highly recommend this programme to anyone working in the funds industry."

Mairead Kennedy
Northern Trust

Who is this programme for?

This programme is designed for an ever increasing range of people working in the international financial services area who are engaged in activities which require a good working knowledge of the characteristics of derivative products and complex financial instruments.

The use of complex financial instruments in investment fund strategies has seen a huge increase over the past five years. Many alternative fund strategies seek to generate returns from asset classes solely created from derivative structures, such as the use of Total Return Swaps or Credit Default Swaps for long-short strategies in equities or bonds. Derivatives are now used in combination with even the most basic equity and bond management strategies.

The growth in derivative usage comes at a time of vast changes in regulatory oversight in both Europe and the US. The regulatory changes are being implemented through the European Market Infrastructure Regulation (EMIR) and will impact the most fundamental aspects of how these products are traded, how collateral/counterparty risk is treated and how transactions are reported.

Understanding the risk and return characteristics of complex products and the derivatives which underpin those strategies has now become a critical function in the asset management, valuation and trading areas in international financial services.

The objective of this programme is to provide you with not only a very practical understanding of how the most common types of complex financial instruments and derivative products are used in the financial markets, but also their use in hedging, risk management and return enhancement strategies.

How you will benefit

On successful completion of this programme, you will be able to:

- Explain how the most common complex financial instruments and derivatives work and understand their uses
- Evaluate the benefits and risks of differing instruments and explain how they can be used for speculation, arbitrage, hedging and general risk management
- Describe how these instruments are used for investment strategies within the major asset classes (equities, currencies, bonds, commodities and property)
- Appraise the role of regulation and the rating agencies in the application of financial instruments
- Understand the role that complex financial instruments played in the past market turmoil and what lessons can be learned for the future
- Review the use of complex financial instruments in financial institutions from an internal and external viewpoint, including accounting treatment/reporting, risk management and regulation.

Curriculum

You study one module:

1. **Complex Financial Instruments**

This module carries 10 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Certificate in Complex Financial Instruments in International Financial Services from UCD.

This is a level 7 qualification on the National Framework of Qualifications.

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/complex-financial-instruments for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Certificate in Complex Financial Instruments in International Financial Services are as follows:

- Five passes in the Leaving Certificate, including English and Mathematics
- Five O Level or GCSE passes, including English Language and Mathematics
- IOB will also consider applications on a mature candidate basis from applicants who are 23 years of age before the date of their application for admission to the programme.

Experience in derivatives, although preferable, is not a requirement for enrolling to the programme.

IFS Skillnet

Part funding may be available for eligible applicants through IFS Skillnet. For more information see iob.ie/info/supports-and-scholarships

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to enrol

For further information, including module content, fees, exam dates, closing dates and to enrol online, visit iob.ie/programme/complex-financial-instruments

Contact us

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Professional Certificate in Digital Financial Services and Data Analytics

NFQ: Level 7
Academic credits: 15 ECTS
Modules: 3
Delivery: Online
Awarding body: UCD

IFS Skillnet

Who is this programme for?

This programme is aimed at those working in operations and service support roles in banking who wish to advance their studies in the area of digital transformation.

The programme seeks to develop an understanding of the impact and relations between process, technology and data. This will enable you to identify your role in the interaction of these elements and provide a foundation for you to begin to analyse data in order to identify improvements in the processes supporting digital financial services.

With increased digitisation in many industries, and in particular with its disruptive potential in financial services, understanding the impact of digital on customers, products and channels has never been more important. Digital changes are already generating a wealth of useful data. With the power of data to enhance customer services and processes, understanding data and being able to use data will be a basic requirement in any job in the future.

How you will benefit

At the end of the programme you will be able to:

- Describe the relationship between business processes, digital technology and data, especially as it relates to operations and customers
- Describe the key digital technologies in financial services, business, processes and customer service provision
- Explain the critical risks and vulnerabilities which must be managed in financial services digital systems
- Outline or sketch out the features/design of a service system from the customer facing elements through processing and ongoing customer relationship management
- Explain the important role of service standards in customer service provision and how complaints handling is a critical part of recovering the service experience
- Describe the different kinds of data and how data relates to information, business intelligence for decision-making and the difference between using data for analysis and using data for predictive modelling
- Apply basic statistical and data visualisation techniques and identify how they can be used to challenge assumptions behind the information for decision-making
- Collect, organise and use data to identify process improvements for increased operational or organisational efficiency and/or enhanced customer service
- Develop concise informative reports which respond to well defined questions or problems.

Curriculum

You study three modules in the following order:

1. **Digital Financial Services**
2. **Introduction to Data Analytics for Financial Services**
3. ***Applied Data Analytics in Digital Financial Services**

Each module carries 5 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Certificate in Digital Financial Services and Data Analytics from UCD.

This is a level 7 qualification on the National Framework of Qualifications.

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/digital-services-data-analytics for details on the assessment methods.

*Please note that access to a laptop/computer on which you can install software is recommended for this module.

Entry requirements

The minimum entry requirements to the Professional Certificate in Digital Financial Services and Data Analytics are as follows:

- Five passes in the Leaving Certificate, including English and Mathematics
- Five O Level or GCSE passes, including English Language and Mathematics
- IOB will also consider applications on a mature candidate basis from applicants who are 23 years of age before the date of their application for admission to the programme.

IFS Skillnet

Part funding may be available for eligible applicants through IFS Skillnet. For more information see iob.ie/info/supports-and-scholarships

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to enrol

For further information, including module content, fees, exam dates, closing dates and to enrol online, visit iob.ie/programme/digital-services-data-analytics

Contact us

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Programme Manager
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Professional Certificate in Digital Risk, AML and Financial Crime Prevention

NFQ: Level 7
Academic credits: 10 ECTS
Modules: 2
Delivery: Online
Awarding body: UCD

Who is this programme for?

Digital technologies are dominating the operating model of financial services organisations worldwide, including how they conduct their business and distribute products and services. Customer experience has been at the heart of this transformation with financial services organisations seeking to enhance the communication channels they have with their customers, the products and services they offer and the loyalty they create with their customer base as new entrants continue to enter the market.

As companies embrace the latest digital technology to become increasingly competitive, digital risk is becoming the biggest facet of business risk. Industry research suggests that the COVID-19 pandemic has dramatically increased the scale and speed that firms have shifted to digital channels in both the customer facing area of the organisation and the operations element of the business. This rapid evolution of digital investment and operations has also increased the digital risk that exists. Money laundering and financial crime is an escalating worldwide problem, unrestricted by jurisdictions and physical boundaries. Preventative measures including educating staff to be aware of the ever evolving financial crime changes and detection of the same being the key defences of a firm.

The Professional Certificate in Digital Risk, AML and Financial Crime Prevention addresses the main types of digital risk and financial crime as well as the policies, procedures and internal controls intended to prevent it.

This programme is relevant to:

- All regulated firms in financial services sector
- All firms established as a Trust company
- All firms who through their day to day operation must meet AML requirements as set out by the 4th EU AML Directive.

How you will benefit

On successful completion of the programme you will be able to:

- Understand the different types of financial crime and money laundering activities perpetrated against financial services providers
- Analyse and assess the threats facing financial services organisations using appropriate models and frameworks
- Select and apply appropriate tools to diagnose the environmental opportunities and threats faced by a financial services organisation and evaluate the strategic alternatives available to deliver customer and client value
- Understand how to develop a successful risk framework to manage digital risk
- Analyse the risks of financial crime to financial services providers and the resulting impact on internal controls, policies and procedures
- Communicate the nature of money laundering and financial crime and describe its manifestations in financial services
- Pursue appropriate learning opportunities (academic and non-academic) to enhance their decision-making capabilities.

Curriculum

You study two modules:

1. **AML, Cyber Security and Financial Crime**
2. **Digital Risk Management**

Each module carries 5 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Certificate in Digital Risk, AML and Financial Crime Prevention from UCD.

This is a level 7 qualification on the National Framework of Qualifications.

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/digital-risk-aml-crime for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Certificate in Digital Risk, AML and Financial Crime Prevention are as follows:

- Five passes in the Leaving Certificate, including English and Mathematics
- Five O Level/GCSE passes, including English Language and Mathematics
- IOB will also consider applications on a mature candidate basis from applicants who are 23 years of age before the date of their application for admission to the programme.

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to enrol

For further information on this programme, including learning outcomes, fees, closing dates and to enrol online, visit iob.ie/programme/digital-risk-aml-crime

Contact us

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Professional Certificate in Responsible and Sustainable Finance

NFQ: Level 7
Academic credits: 10 ECTS
Module: 1
Delivery: Online
Awarding body: UCD

"It is critical to have a strong foundation in sustainable finance as legislation continues to focus on sustainable investing, and this programme, in my opinion, provides this knowledge base. The experienced lecturers and learning resources highlighted the significance of sustainable finance in the financial services industry."

Joseph Henkel
SEI Investments Global, Limited

Who is this programme for?

Sustainable Finance is an area that requires decisive leadership, flexibility, and responsiveness from the financial services sector, and society as a collective. This programme is for all financial services professionals who wish to understand more about the evolving nature of Environmental, Social, and Governance (ESG) factors within financial services.

It seeks to give an introduction to, and an understanding of themes such as: response to climate change; the catalyst for sustainable finance and EU regulatory initiatives. The programme also examines non-financial disclosures, climate and sustainability reporting including climate related risks, opportunities and financial impacts. You will be given the opportunity to understand more about green, social, and sustainable bonds. The programme concludes by discussing how to embed a culture of sustainability within an organisation, and an assessment of the future of the ESG agenda.

How you will benefit

At the end of the programme you will be able to:

- Explain the key concepts, models and frameworks underpinning responsible and sustainable finance
- Explore the role and impact of responsible and sustainable finance policies in the financial services industry
- Assess the potential risks and opportunities of recent environmental trends facing investors and financial markets
- Evaluate investors' organisational culture and behavior implications in progressing responsible and sustainable finance
- Communicate clearly (using appropriate media), to key stakeholders the essence of and future prospects of responsible and sustainable finance
- Critically reflect on your role and contribution to financial services firms and organisations' mission in advocating a sustainability culture and responsible banking and investment.

Curriculum

You study one module:

1. **Responsible and Sustainable Finance**

This module carries 10 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Certificate in Responsible and Sustainable Finance from UCD.

This is a level 7 qualification on the National Framework of Qualifications.

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/sustainable-finance for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Certificate in Responsible and Sustainable Finance are as follows:

- Five passes in the Leaving Certificate, including English and Mathematics
- Five O Level/GCSE passes, including English Language and Mathematics
- IOB will also consider applications on a mature candidate basis from applicants who are 23 years of age before the date of their application for admission to the programme.

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to enrol

For further information, including module content, fees, closing dates and to enrol online, visit iob.ie/programme/sustainable-finance

Contact us

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Professional Certificate in Compliance

NFQ: Level 7
Academic credits: 10 ECTS
Modules: 2
Delivery: Online
Awarding body: UCD

"This programme provided me with a solid grounding of what compliance is and it gave me the confidence to change my career and start working in Compliance as a Compliance Specialist. I also went on to complete the Professional Diploma in Compliance which gave me a good understanding of what was expected in my new role. I would highly recommend both programmes."

Lorna Vaughan
Canada Life Reinsurance

Who is this programme for?

This programme is for those who work or aspire to work in a professional capacity within a compliance function in financial services. The Professional Certificate in Compliance is designed to enhance skills, judgement and ability to deal with practical issues in the management and practice of compliance in the financial services industry.

Those who successfully complete Compliance and the Regulatory Structure - PDC 1 and Conduct of Business Rules – PDC 2 are awarded the Professional Certificate in Compliance. The professional certificate introduces you to the theory of regulation and to its application to the financial services industry. The professional certificate is popular with students who require an introduction to the area of compliance as well as those who already work in the area and are looking to achieve the recognised industry and accredited qualification.

If you complete a further two modules, Legal and Regulatory Aspects of Compliance – PDC 3 and Compliance Management – PDC 4, you are awarded the Professional Diploma in Compliance.

How you will benefit

On successful completion of the Professional Certificate in Compliance you will be able to:

- Describe the legal and regulatory environment that applies to the financial services industry in Ireland
- Describe the role of compliance within a financial services organisation
- Outline the regulations in relation to data protection, marketing and financial crime
- Discuss the regulatory rules that apply to the provision of investment services by retail intermediaries and investment firms
- Explain the impact of regulatory and conduct of business rules from the point of view of the firm, of the customer and of the wider sector
- Analyse the importance of ethics and their role in the compliance function.

The programme is offered in partnership with the Compliance Institute.

Curriculum

You study two modules:

1. **Compliance and the Regulatory Structure – PDC 1**
2. **Conduct of Business Rules – PDC 2**

Each module carries 5 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Certificate in Compliance from UCD.

This is a level 7 qualification on the National Framework of Qualifications.

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/compliance-cert for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Certificate in Compliance are as follows:

- Five passes in the Leaving Certificate, including English and Mathematics
- Five O Level or GCSE passes, including English Language and Mathematics
- IOB will also consider applications on a mature candidate basis from applicants who are 23 years of age before the date of their application for admission to the programme.

Professional body membership

You must be a current member of the Compliance Institute, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees,exam dates, closing dates and to apply online, visit iob.ie/programme/compliance-cert

Contact us

Mikeala White
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Professional Diploma in Compliance (LCI)

NFQ: Level 7
Academic credits: 10 ECTS
Modules: 2
Delivery: Online
Awarding body: UCD
Designation: LCI

Who is this programme for?

This programme is for those who work or aspire to work in a professional capacity within a compliance function in financial services. The Professional Diploma in Compliance is a level 7 qualification considered to be the benchmark qualification for compliance professionals. It is designed to enhance skills, judgement and ability to deal with practical issues in the management and practice of compliance in the financial services industry.

How you will benefit

On successful completion of the Professional Diploma in Compliance you will be able to:

- Describe the role of the compliance function within a financial services organisation
- Explain the domestic and international legal and regulatory environment that applies to the Irish financial services sector
- Assess the authorisation, supervision and prudential requirements for different financial entities
- Explain the impact of regulatory and conduct of business rules from the point of view of the firm, of the customer and of the wider sector
- Discuss the importance of compliance practice, planning and monitoring as part of the assurance and governance in an organisation
- Evaluate the importance of ethics and ethical behaviour in the operation of financial services.

The programme is offered in partnership with the Compliance Institute.

Minimum Competency Code

The Professional Diploma in Compliance and attaching LCI (Licentiate of Compliance Institute) designation satisfies the Central Bank of Ireland's Minimum Competency Code for those who 'adjudicate on any complaint communicated to a regulated firm by a consumer which relates to advice about a retail financial product provided to that consumer or the arranging of a retail financial product for that consumer'.

Holders of the diploma are invited to apply for the LCI designation. Continued use of the designation is subject to meeting annual CPD requirements.

Curriculum

You study two modules:

1. **Legal and Regulatory Aspects of Compliance – PDC 3**
2. **Compliance Management – PDC 4**

Each module carries 5 ECTS (academic credits).

Award/Designation

When you successfully complete this programme, you will be awarded a Professional Diploma in Compliance from UCD and will be invited to the relevant designation.

This is a level 7 qualification on the National Framework of Qualifications.

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/compliance-diploma for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Diploma in Compliance are as follows:

- Five passes in the Leaving Certificate, including English and Mathematics
- Five O Level or GCSE passes, including English Language and Mathematics
- IOB will also consider applications on a mature candidate basis from applicants who are 23 years of age before the date of their application for admission to the programme.

Entry to the Professional Diploma in Compliance is limited to those who have successfully completed or registered for the Professional Certificate in Compliance.

To enrol on Compliance Management - PDC 4, candidates must have enrolled for or passed the first three modules Compliance and the Regulatory Structure, Conduct of Business Rules and Legal and Regulatory Aspects of Compliance.

Professional Designation

Individuals who pass the Professional Diploma in Compliance are entitled to apply for the designation 'Licentiate of Compliance Institute' and use the designatory letters LCI. Continued use of this designation is subject to meeting the Compliance Institute's Continuing Professional Development requirements.

LCI is the leading professional designation for compliance professionals in all sectors of the financial services industry in the Republic of Ireland.

Professional body membership

You must be a current member of the Compliance Institute, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees, exam dates, closing dates and to apply online, visit iob.ie/programme/compliance-diploma

Contact us

Mikeala White
Programme Manager
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Professional Certificate in Consumer Protection Risk, Culture and Ethical Behaviour in Financial Services

NFQ: Level 7
Academic credits: 5 ECTS
Module: 1
Delivery: Online
Awarding body: UCD

Who is this programme for?

The Professional Certificate in Consumer Protection Risk, Culture and Ethical Behaviour in Financial Services, is a single-module level 7 qualification and is the industry benchmark Culture qualification, for people working in the financial services industry across all roles and functions up to senior manager level.

The programme aims to develop your knowledge of the Consumer Protection Risk Assessment and how it relates to culture, ethics and behaviours and your personal responsibilities in sustaining an effective corporate culture.

The programme has been designed in consultation with leading industry experts in the areas of Consumer Protection Risk Culture, Ethics and Behaviours, and with Compliance professionals.

The programme is designed with an emphasis on Consumer Protection Risk Assessment, with particular focus on the areas of product design, sales process, post-sales handling and good customer outcomes.

How you will benefit

This programme will provide you with:

Consumer Protection Risk Assessment:

- Understanding background, purpose, approach and transition points of CPRA to consumer protection
- Understanding the rules on protecting consumers
- Components of a good consumer/conduct risk framework
- Product Governance, lifecycle, how to develop products correctly
- Selling financial products appropriately and ensuring the right outcomes post the sale transactions
- Understanding the role of incentives in encouraging the right behaviours and accountability
- Monitoring and MI

Ethics Values and Integrity:

- Foundational concepts of ethics, values and integrity
- Behavioural Economics and its relevance in financial services
- What it means to be ethical in Financial Services and how the right ethical climate supports good decision making
- How ethical behaviours can improve customer outcomes
- The role of morality and values in creating the right behaviours
- What happens when things go wrong
- What obligations do businesses have to customers
- How to effectively challenge and escalate
- Good and Bad Behaviours

Culture:

- Indicators of a sound risk culture
- Regulator expectations and reports
- Roadmap for achieving desired culture
- Governance arrangements around the design, monitoring, and analysis of culture MI
- How culture can be assessed in organisations/ culture audits
- Understanding the importance of culture in ensuring good customer outcomes
- Understanding the role of mind-set and sense-making on behaviours and how this is being applied in supervision of culture and behaviours
- Understanding the role of group dynamics
- Understanding the impact of biases when making compliance decisions.

Curriculum

You study one module:

1. **Consumer Protection Risk, Culture and Ethical Behaviours**

This module carries 5 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Certificate in Consumer Protection Risk, Culture and Ethical Behaviour in Financial Services from UCD.

This is a level 7 qualification on the National Framework of Qualifications.

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/consumer-protection-risk-ethics for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Certificate in Consumer Protection Risk, Culture and Ethical Behaviour in Financial Services are as follows:

- Five passes in the Leaving Certificate including English and Mathematics
- Five O Level or GCSE passes, including English Language and Mathematics
- IOB will also consider applications on a mature candidate basis from applicants who are over 23 years of age, with at least one year's experience working in financial services.

Professional body membership

You must be a current member, or become a member of IOB, if you are not already a member of the Compliance Institute.

How to enrol

For further information, including module content, fees, exam dates, closing dates and to enrol online, visit iob.ie/programme/consumer-protection-risk-ethics

Contact us

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Programme Manager
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Professional Certificate in FinTech

NFQ: Level 7
Academic credits: 10 ECTS
Modules: 2
Delivery: Online
Awarding body: UCD

Who is this programme for?

Increased digitalisation is happening throughout the financial services sector. The aim of this programme is to provide you with an understanding of risk and compliance in the context of increasing use of financial technology (FinTech). The programme has been developed by industry practitioners and experts for industry.

There is particular focus on developing the specialist knowledge and skills to identify and manage anti money laundering, an escalating worldwide problem. Anti-money laundering (AML) is a critical responsibility of the financial services sector; it effects the stability of the financial system and is essential to safeguarding national and global interests.

The programme will introduce you to the FinTech industry, the different types of digital risk that arise and how the application of technology has disrupted the traditional delivery of financial services. You will learn about the breadth of regulation applicable to FinTech. It will provide an overview of a range of key innovative technologies employed in the FinTech sector including: blockchain, RegTech and cryptocurrencies. It will focus on developing the specialist knowledge and skills that will equip you to identify and manage against financial crime as well as the policies, procedures and internal controls intended to prevent its occurrence in a digital enabled environment. You will work through some case studies to get a better understanding of the practical application of risk and compliance management in the context of a FinTech environment.

This programme is designed for those who work in, or aspire to work in, a risk and compliance function using or going to use FinTech in their business including those in an advisory, supervisory or oversight role of a compliance and risk function and those developing services for, and providing services to, the sector.

The programme is particularly useful to those who work or aspire to work in a professional capacity in the Anti Money Laundering (AML) function, and to those in an advisory or supervisory AML role in their business using or going to use FinTech and digital technologies in the management of their operations.

This programme supports career advancement and is suitable to a wide range of professionals looking to upskill on the impact of FinTech on compliance and risk management in the financial services sector.

How you will benefit

On successful completion of the Professional Certificate in Fintech, you will understand the FinTech industry and how the application of technology has disrupted the traditional delivery of financial services. You will gain an understanding of the local and EU regulatory environment of regulated firms and the FinTech applications in use in financial services. In particular, you will identify the nature and types of financial crime and money laundering activities and the role of culture and the ethical considerations in managing AML operations in a FinTech environment.

Curriculum

You study two modules:

1. **Risk and Compliance in a FinTech Environment**
2. **AML and Financial Crime in a FinTech Environment**

Each module carries 5 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Certificate in FinTech from UCD.

This is a level 7 qualification on the National Framework of Qualifications.

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/fintech-certificate for details on the assesment methods.

Entry requirements

The minimum entry requirements to the Professional Certificate in FinTech are as follows:

- Five passes in the Leaving Certificate, including English and Mathematics
- Five O Level/GCSE passes, including English Language and Mathematics
- IOB will also consider applications on a mature candidate basis from applicants who are 23 years of age before the date of their application for admission to the programme.

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to enrol

For further information, including module content, fees, closing dates and to enrol online, visit iob.ie/programme/fintech-certificate

Contact us

Mary O'Dwyer
Programme Manager
E: education@iob.ie

University Qualifications (Level 8)

Professional Diploma in Sustainable Finance for Compliance Professionals

Professional Diploma in Digital Product Management in Financial Services

NEW

Professional Diploma in Sustainable Finance for Compliance Professionals

NFQ: Level 8
Academic credits: 30 ECTS
Modules: 3
Delivery: Blended
Awarding body: UCD

Compliance Institute — BETTER BUSINESS ETHICS | Sustainable Finance Skillnet

Who is this programme for?

The aim of the Professional Diploma in Sustainable Finance for Compliance Professionals is to equip compliance professionals or those entering compliance roles with the critical knowledge and compliance skills to advise on and advance the sustainability agenda within the financial services industry.

The curriculum will support those in compliance roles in control and assurance functions, enabling them to lead authoritatively on the legal, regulatory, policy, monitoring and risk management activities, which will not only provide Board and Regulator assurance but also be a key input into organisation strategy with respect to sustainability.

How you will benefit

On completing the Professional Diploma in Sustainable Finance for Compliance Professionals, you will be able to:

- Explain to peers, customers, and other key stakeholders the relevance of sustainability to the economy, business and the financial sector, and the role of financial services within the sustainability agenda
- Identify the key current and emerging issues and drivers from a sustainable finance and regulatory perspective in particular the EU Taxonomy, SFDR, mandatory and voluntary sustainable finance and standards
- Explain to peers and the Board, corporate sustainability reporting and sustainable finance disclosure requirements
- Analyse the role of culture and ethics in a sustainable finance compliance framework and advocate for the sustainability agenda within your organisation
- Recognise the regulatory requirements on sustainability factors and risks relevant to the financial services sector and organisation in which you work and assess the impact on how the organisation manages sustainable finance compliance risk
- Establish compliance frameworks to translate the mandatory rules, regulations and policy into operational requirements and the tools to assess sustainable compliance risks and monitor and report on them
- Equip yourself with resources and market information to be alert for and stay up to date with sustainable finance developments.

Curriculum

You study three modules:

1. **Compliance for Sustainable Finance: Building the Foundation**
2. **Sustainable Finance Rules, Regulation and Policy**
3. **Compliance Toolkit for Sustainable Finance**

Each module carries 10 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Diploma in Sustainable Finance for Compliance Professionals from UCD.

This is a level 8 qualification on the National Framework of Qualifications.

Professional designation

Graduates who complete the Professional Diploma in Sustainable Finance for Compliance Professionals will be invited by Compliance Institute to take up a professional designation.

Compliance Institute is recognised as the primary association for awarding designations in compliance and ethics, with Compliance Institute designations being the benchmark to demonstrate competence to work in compliance in financial services.

Delivery and Assessment

Modules will be delivered using a blended model which combines online lectures and in person delivery. Please visit iob.ie/programme/sustainable-compliance-professionals for details on the assessment methods.

Entry requirements

Students seeking admission to the Professional Diploma in Sustainable Finance for Compliance Professionals should:

- Possess an Honours degree (Second class Honours Grade 2 award or higher) and have experience in financial services or professional advisory

or

- Have at least 3 years' experience in financial services or professional advisory and completed a 30 ECTS Special Purpose Award (SPA) or awards (at level 7)

or

- Exceptionally, students without a third level qualification but with extensive and demonstrable relevant financial services experience may also be admitted to the programme on a case-by-case basis.

Professional body membership

You must be a current member of Compliance Institute or IOB to apply for this programme.
Note: You must be a member of Compliance Institute to attain and hold the designation

How to apply

For further information, including module content, fees, closing dates and to apply online visit iob.ie/programme/sustainable-compliance-professionals

Contact us

Lorraine Duane
Programme Manager
E: education@iob.ie

Professional Diploma in Digital Product Management in Financial Services

NFQ: Level 8
Academic credits: 20 ECTS
Modules: 2
Delivery: Online
Awarding body: UCD

IFS Skillnet

Who is this programme for?

The Professional Diploma in Digital Product Management is designed to deepen your holistic knowledge about digital product management. The design, delivery and continuous development of digital products has evolved and there is a need for a large number of front office and back office staff, involved in digital products, to develop a broad knowledge base that spans from proposition to compliance.

This programme will enable you to work through a complex matrix of decision points when designing and delivering a digital product, bearing in mind ever-changing environmental demands, cultural shifts and the drive for further digital products. The programme sets out to enable you to understand the role of customer outcomes and agile project management techniques in financial services products.

This programme is relevant for all of you who interact with a financial product. It will be particularly relevant to those who have completed the Professional Diploma in Financial Advice, leading to the QFA designation.

How you will benefit

On successful completion of the programme you will be able to:

- Explain the theory and practice underpinning digital product management with special reference to Agile Project Management
- Sketch the digital infrastructure within your organisation and recommend how it might be enhanced to achieve an improved experience for customers
- Display the collaborative skills needed for the design, delivery and management of a digital product in a financial services firm
- Critically evaluate the design and operations of digital products offered by financial services providers
- Communicate clearly to relevant stakeholders (internal or external), the key features of and efficiencies of digital product management
- Evaluate your personal skills with a view to progressing your personal and professional career ambitions.

Curriculum

You study two modules:

1. **Digital Product Strategy**
2. **Digital Product Execution**

Each module carries 10 ECTS (academic credits).

Learning themes and topics

Module 1 - Digital Product Strategy

- New product dilemmas
- Digital product touchpoints
- Software development and delivery
- Product and portfolio management
- Product journey design
- Risk management considerations
- Distance selling, compliance and regulation
- Culture, behaviour and outcomes
- Cyber security and financial services
- Open banking and ecosystems

Module 2 - Digital Product Execution

- Goals, targets and KPIs
- KPI framework
- Channel consumption
- Traffic management and demand generation
- Web analytics
- Web development
- API product management
- Cookies and consent
- Risk and compliance
- Culture and performance

Award

When you successfully complete this programme, you will be awarded a Professional Diploma in Digital Product Management in Financial Services from UCD.

This is a level 8 qualification on the National Framework of Qualifications.

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/digital-product-management for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Diploma in Digital Product Management in Financial Services are as follows:

- 3 years' experience in a retail banking, international banking, or funds company and completed a 30 ECTS Special Purpose Award (SPA) or awards (at level 7)

or

- Have an Honours degree (min. 2.2 L8 NFQ) and experience in retail banking/financial services

or

- Exceptionally, students without a third level qualification but with extensive and demonstrable relevant financial services experience may also be admitted to the programme on a case-by-case basis.

IFS Skillnet

Part funding may be available for eligible applicants through IFS Skillnet. For more information see iob.ie/info/supports-and-scholarships

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees, exam dates, closing dates and to apply online, visit iob.ie/programme/digital-product-management

Contact us

Lorraine Duane
Programme Manager
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University Postgraduate Qualifications (Level 9)

- Professional Certificate for Designated Persons in a Fund Management Company (Accredited Funds Professional)
- Professional Diploma in Applied Alternative Investments
- Professional Certificate in Capital Markets, Investments and Funds
- Pathway to MSc in Financial Services
- Professional Diploma in Strategic Management in Financial Services
- Professional Diploma in Data and Analytics in Financial Services
- Professional Diploma in Digital Transformation in Financial Services
- Graduate Diploma in Digital and Data Strategy in Financial Services
- Professional Certificate in Data Protection (CDPO)
- Professional Certificate in Financial Crime Prevention (CFCP)
- MSc in Compliance (FCI)
- Professional Diploma in Leading Cultural Change and Ethical Behaviour in Financial Services
- Professional Diploma in Advanced Banking Risk Management
- Professional Diploma in Advanced Operational Risk Management in Financial Services
- Professional Diploma in Banking (Chartered Banker)

Professional Certificate for Designated Persons in a Fund Management Company (AFP)

NFQ: Level 9
Academic credits: 10 ECTS
Module: 1
Delivery: Online
Awarding body: UCD
Designation: AFP

irish funds

"The programme gave a comprehensive view of a Fund Management Company business framework, through targeted, dynamic and interactive lectures, instructive presentations and case studies that were examined and discussed with fellow students. The programme has been extremely beneficial to my professional development and has afforded me new networking opportunities."

David Zakaria
Azimut Investments Limited

Who is this programme for?

The Professional Certificate for Designated Persons in a Fund Management Company was introduced specifically to support the development of individuals in Designated Person roles, or those who aspire to these roles. It is also relevant to individuals who support Designated Persons and to other professionals in Fund Management Companies.

The Central Bank of Ireland requires that a Fund Management Company or self-managed fund ("Fund ManCo"), which delegates activities, must appoint a number of Designated Persons to carry out prescribed managerial functions. Designated Persons (DPs) are the individuals who are responsible for monitoring and overseeing the managerial function assigned to them. DP roles are Pre-Approval Controlled Functions (PCFs) under the Central Bank's Fitness and Probity regime.

According to Central Bank guidance, Designated Persons (DPs) are a Fund ManCo's line of management that lies between the board of directors and delegates. In that sense they can be regarded as the senior leadership team or an integral part of the Fund ManCos Senior Leadership Team.

There are six managerial functions and the related PCFs are as follows: Capital and Financial Management (PCF-39A); Operational Risk Management (PCF-39B); Fund Risk Management (PCF-39C); Investment Management (PCF-39D); Distribution (PCF-39E); and Regulatory Compliance (PCF-39F).

The Professional Certificate for Designated Persons in a Fund Management Company has been carefully designed to equip professionals in the funds industry with the necessary competence to fill DP roles and is the only accredited qualification at this level.

This certificate has been designed in consultation with our Funds Advisory Board, Irish Funds and a variety of industry experts.

How you will benefit

The programme will support your personal and professional development in taking on senior roles in the fund management industry. It will:

- Enhance your knowledge and skills; and
- Equip you with the competencies necessary to take on a DP position, or to support a DP

The programme will achieve these objectives by:

- Ensuring that you have a full understanding of the legal and regulatory obligations including the Central Bank expectations in this area
- Guide you on how you can develop a "DP mindset"
- Provide you with a good understanding of the various conflicts that can arise from multiple sources and situations and how these can be addressed, with a strong focus on the practicalities of challenge in different situations and different DP roles
- Equip you to engage successfully with boards and with the Central Bank.

Curriculum

You study one module.

1. **Designated Persons in a Fund Management Company**

This module carries 10 ECTS (academic credits).

Award/Designation

When you successfully complete this programme, you will be awarded a Professional Certificate for Designated Persons in a Fund Management Company from UCD and will be invited to the relevant designation.

This is a level 9 qualification on the National Framework of Qualifications.

Delivery and Assessment

This programme is delivered online. Please visit iob.ie/programme/fund-management-persons for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Certificate for Designated Persons in a Fund Management Company are as follows:

- An Honours degree (min. 2.2 L8 NFQ) in business or in a relevant cognate discipline

or

- Applicants who do not hold a primary degree but have relevant work experience at a middle or senior management level are eligible to apply, and will be considered on a case-by-case basis.

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees, exam dates, closing dates and to apply online, visit iob.ie/programme/fund-management-persons

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

Edel Hayden
Programme Manager
E: education@iob.ie

Professional Diploma in Applied Alternative Investments

NFQ: Level 9
Academic credits: 30 ECTS
Modules: 3
Delivery: Online
Awarding body: UCD

"I would highly recommend this programme to anybody looking to deepen their knowledge of the funds industry especially in the alternatives space, which is an ever-growing part of the Irish Funds industry landscape. It also offers the chance of job progression or job opportunities which are also a significant benefit."

Jason Stanley
KB Associates A Waystone Group Company

Who is this programme for?

The Professional Diploma in Applied Alternative Investments is an opportunity for professionals working in the funds industry to develop their expertise in the growing alternative assets market.

Increasingly, alternative assets which include hedge funds, commodities, private equity, structured products and real asset investments such as infrastructure investments, are becoming mainstream investments which are predicted to grow significantly.

Given the breadth of alternative assets, the programme will appeal to professionals working in the funds industry who want to develop expertise in alternative investments.

How you will benefit

The overall aim of the programme is to develop your technical knowledge and skills in alternative investments. It will:

- Advance your knowledge and understanding of valuation issues relevant to alternative investments and alternative investment funds
- Progress your understanding of long-term trends in the demand for alternative investments
- Deepen your knowledge and expertise in the range of alternative investment strategies
- Introduce the relevance and importance of alternative investments to various types of investors
- Develop your knowledge of legal and regulatory issues affecting alternative investment funds.

Curriculum

You study three modules:

1. **Investment Valuation and Risk**
2. **Applied Alternative Investment Strategies**
3. **Legal and Regulatory Aspects of Alternative Investments**

Each module carries 10 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Diploma in Applied Alternative Investments from UCD.

This is a level 9 qualification on the National Framework of Qualifications.

Progression

This award is on the Pathway to MSc in Financial Services. Those wishing to continue their studies and achieve the MSc (90 ECTS credits) are required to complete two further Professional Diplomas (30 ECTS each). Further details at iob.ie/programme/msc-financial-services

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/applied-alternative-investments for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Diploma in Applied Alternative Investments are as follows:

- An Honours degree (min. 2.2 L8 NFQ)

or

- Admission may also be considered for experienced professionals who do not meet the admission requirements as set out above, where they can demonstrate knowledge through their work i.e. they have more than 5 years' experience in a management role.

IFS Skillnet

Part funding may be available for eligible applicants through IFS Skillnet. For more information see iob.ie/info/supports-and-scholarships

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees, exam dates, closing dates and to apply online, visit iob.ie/programme/applied-alternative-investments

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

William Lawless
Programme Manager
E: education@iob.ie

NEW

Professional Certificate in Capital Markets, Investments and Funds

NFQ: Level 9
Academic credits: 10 ECTS
Module: 1
Delivery: Online
Awarding body: UCD

Who is this programme for?

The Professional Certificate in Capital Markets, Investments and Funds is designed for professionals in the funds, capital markets and asset management industry, drawing on and integrating key areas of essential knowledge, theory and practice required by individuals associated with the investment and risk management processes.

The programme is designed for:

- Capital Markets and Banking specialists
- Equity and Debt Raising professionals
- Corporate Finance advisers
- Asset managers and fund service providers
- Accountants, lawyers and other professionals who provide advice in the areas of capital markets, investment and funds
- Those involved in wealth management and advice
- Internal Audit staff who assess investment and risk processes
- Compliance professionals
- Fund Managers / Fund Promoters
- Financial control staff with responsibility for budget setting, capital allocation, financial performance evaluation and financial/regulatory reporting.

How you will benefit

On completing the Professional Certificate in Capital Markets, Investments and Funds, you will be able to:

- Describe and explain the theory and practice underpinning capital markets activities, and the operations required to facilitate investors in those markets in achieving their investments goals
- Identify capital markets main participants, outline their roles and also the variety of products commonly traded through capital markets and their settlement and reporting cycles. Explain how the funds industry interacts with capital markets and capital markets participants
- Outline and discuss the key market drivers of capital markets operations and fund performance
- Understand the key processes which underpin a robust risk management system in the funds area, and investigate cases when investments / investment strategies fail in implementation or failures in the trade life cycle
- Have an understanding of the regulatory regime for funds and review the consequences of regulatory change across capital markets from the perspective of an investment funds manager, distributor, funds services providers, and the investor in those funds
- Drawing on your learning, identify and pursue career enhancement / professional development opportunities within capital markets and the funds industry.

Curriculum

You study one module:

1. **Capital Markets, Investments and Funds**

This module carries 10 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Certificate in Captial Markets, Investments and Funds from UCD.

This is a level 9 qualification on the National Framework of Qualifications.

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/capital-markets-investments-and-funds for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Certificate in Capital Markets Investments and Funds are as follows:

- An Honours degree (min. 2.2 L8 NFQ) and have employment experience in the financial services sector

Or

- Applications from aspiring candidates who do not satisfy criterion above but have significant employment experience at middle to senior management level, will be considered for admission on a case-by-case basis.

Professional body membership

You must be a current member of IOB, or become a member, to apply for this programme.

How to apply

For further information, including module content, fees, exam dates, closing dates and to apply online visit iob.ie/programme/capital-markets-investments-and-funds

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

William Lawless
Programme Manager
E: education@iob.ie

Pathway to MSc in Financial Services

Who is this programme for?

The Pathway to the MSc in Financial Services is a flexible way for you to master critical skills that are aligned to your career plans and that prepare you for tackling complex financial services management challenges.

If you're an experienced professional who wants to deepen expertise in your chosen career while enhancing your strategic mindset, then this flexible MSc Pathway offers you the very best learning experience and a world-class qualification.

The Pathway is a progression route which allows you to achieve your MSc in Financial Services as a series of 'bite-sized' Professional Diploma awards. With lectures delivered online, students can study from anywhere in Ireland.

The programme is ideal for financial services professionals who are in leadership positions, or who aspire to leadership roles.

The Pathway to MSc in Financial Services aims to develop expertise in your chosen areas of interest (e.g. Digital, Data, Culture, Risk Management, Retail Banking or Investment Funds) while fostering a strategic perspective to enable you to assess strategic alternatives, identify new growth opportunities, and solve complex problems in financial services.

The curriculum develops industry specific knowledge and research insights and utilises your practical experience to promote peer learning. An important aspect of the programme is the integration of technical skills and a focus on strategic challenges facing financial institutions to identify opportunities and threats arising in the global marketplace. You will critically evaluate the implications of operating in a dynamic business environment and the challenges you face in developing and executing strategies to provide value added products, services and solutions as firms seek to create compelling value propositions to support sustainable competitive advantage.

How you will benefit

On completing the MSc in Financial Services you will be able to:

- Utilise in-depth technical and theoretical knowledge necessary to manage in the evolving financial services landscape
- Critically appraise the forces shaping financial services (including regulation, technology, competition, risk, customer/client expectations)
- Assimilate a range of theoretical and empirical evidence to analyse complex problems and develop and evaluate innovative solutions and strategic alternatives
- Formulate strategy and policy for the financial services firm cognisant of the impact it may have on wider society and the need to recommend courses of action in an ethical and sustainable manner
- Critically evaluate the trade-offs between an institution's risk appetite, related internal control framework, operating model and its profitability
- Communicate clearly to stakeholders, using appropriate media and reporting standards, contemporary issues, decisions and developments in retail banking and financial services.

The routes to attaining the MSc in Financial Services award

There are two routes to attaining the MSc award. The first is to study the Graduate Diploma in Financial Planning or the Graduate Diploma in Digital and Data Strategy in Financial Services and then the Professional Diploma in Strategic Management in Financial Services.

The Graduate Diploma programmes are six module programmes that run over 18 months. You must receive 2.2 or higher in the Graduate Diploma programmes to receive the MSc award at the end of your study.

On the second route, you must complete two of a number of Professional Diplomas from our postgraduate offerings and then the Professional Diploma in Strategic Management in Financial Services.

You complete individual Professional Diplomas on a stand alone basis, but need to complete all three Diplomas within seven years to be eligible for the MSc in Financial Services award.

Programme Structure

MSc in Financial Services
30 ECTS Credits

↑

CORE PROGRAMME
Professional Diploma in Strategic Management in Financial Services
30 ECTS Credits

+

Complete any two of the following programmes:

1. **Professional Diploma in Advanced Banking Risk Management**
2. **Professional Diploma in Advanced Operational Risk Management in Financial Services**
3. **Professional Diploma in Applied Alternative Investments**
4. **Professional Diploma in Banking**
5. **Professional Diploma in Data and Analytics in Financial Services**
6. **Professional Diploma in Digital Transformation in Financial Services**
7. **Professional Diploma in Leading Cultural Change and Ethical Behaviour in Financial Services**

Each programme carries 30 ECTS (academic credits)

OR

Complete one of the following programmes:

1. **Graduate Diploma in Financial Planning**
2. **Graduate Diploma in Digital and Data Strategy in Financial Services**

Each programme carries 60 ECTS (credits)

Award

When you successfully complete this programme, you will be awarded a MSc in Financial Services from UCD. This is a level 9 qualification on the National Framework of Qualifications.

Entry requirements

Those interested in embarking on the Pathway to MSc in Financial Services apply in the first instance for one of the constituent Professional Diploma or Graduate Diploma programmes within the Pathways framework.

The entry requirements to these constituent Professional Diplomas in most cases are:

- An Honours degree (min 2.2 L8 NFQ)

or

- Admission may also be considered for experienced professionals who do not meet the admission requirements as set out above, where they can demonstrate knowledge through their work i.e. they have more than 5 years' experience in a management role (to be considered on a case by case basis).

Continuing Professional Development

If you hold an IOB designation or a designation managed by IOB, CPD hours may be awarded on successful completion of this programme.

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to apply

For further information on this programme, visit iob.ie/programme/msc-financial-services

Contact us

Aisling Kellegher
Programme Manager

E: education@iob.ie

Professional Diploma in Strategic Management in Financial Services

NFQ: Level 9
Academic credits: 30 ECTS
Modules: 3
Delivery: Online
Awarding body: UCD

"This programme has been invaluable in developing my knowledge of risk and culture in banking. The lecturers bring a wide range of professional experience and employ case studies and relevant industry resources to facilitate practical learnings."

Shirley Carr
PwC Ireland

Who is this programme for?

The Professional Diploma in Strategic Management in Financial Services is ideal for financial services professionals who are in management and leadership positions, or who aspire to senior management and leadership roles.

The Professional Diploma in Strategic Management in Financial Services will focus on the theory and practice underpinning strategy design, development and implementation. It will also ascertain and analyse the key drivers that shape and contribute to a firm's superior performance (competitive advantage) in the marketplace.

The aim of the Professional Diploma in Strategic Management in Financial Services is to provide you with a specific skillset to build on your prior learning, augment your technical knowledge and competencies and up-skill/re-skill them to deal with the key changes and challenges facing your organisation and sector. The programme will provide a strategic lens through which you can integrate your technical knowhow in the provision of organisation-wide solutions, solve complex problems and formulate and implement strategy and change in a dynamic business environment.

How you will benefit

On completing the Professional Diploma in Strategic Management in Financial Services, you will be able to:

- Explain current theory and practice underpinning strategy, strategy formulation, innovation, strategic-decision making and strategic implementation
- Analyse and assess the financial services market environment using appropriate models and frameworks
- Select and apply appropriate tools to diagnose the environmental opportunities and threats faced by a financial services organisation and evaluate the strategic alternatives available to deliver customer and client value
- Formulate performance measures for strategic implementation and create and implement a change management programme to support strategic implementation
- Engage with colleagues and demonstrate personal initiative and leadership in developing and implementing organisational strategy
- Communicate clearly (using appropriate media) to pertinent stakeholder's, current strategic management issues for financial services players
- Pursue appropriate learning opportunities (academic and non-academic) to enhance your strategic decision capabilities.

Curriculum

You study three modules:

1. **Strategic Decision Making**
2. **Strategy and Innovation in Financial Services**
3. **Strategy Implementation and Change Management**

Each module carries 10 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Diploma in Strategic Management in Financial Services from UCD.

This is a level 9 qualification on the National Framework of Qualifications.

Progression

This award is on the Pathway to MSc in Financial Services. Those wishing to continue their studies and achieve the MSc (90 ECTS credits) are required to complete two further Professional Diplomas (30 ECTS each). Further details at iob.ie/programme/msc-financial-services

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/strategic-management for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Diploma in Strategic Management in Financial Services are as follows:

- An Honours degree (min. 2.2 L8 NFQ)

or

- Admission may also be considered for experienced professionals who do not meet the admission requirements as set out above, where they can demonstrate knowledge through their work i.e. they have more than 5 years' experience in a management role.

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees, closing dates and to apply online, visit iob.ie/programme/strategic-management

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

Aisling Kellegher
Programme Manager
E: education@iob.ie

Professional Diploma in Data and Analytics in Financial Services

NFQ: Level 9
Academic credits: 30 ECTS
Modules: 3
Delivery: Online
Awarding body: UCD

"Furthering my education through IOB has been a great career decision. IOB's learning approach and educational material made my journey very straightforward and I was able to achieve great balance at home, in work and in study through the learning resources."

Shane Lenihan
Northern Trust

Who is this programme for?

This programme is for leaders, and aspiring leaders in financial services who would like to leverage data to enhance market insights and client relationships and acquisition. Leaders who effectively and efficiently identify the business intelligence afforded by the data and analytics are most likely to succeed through strategic foresight and analytical considerations.

Rather than focusing on just data science this programme is for those with internal or client facing reporting functions who are interested in building a data and analytics strategy and mindset across a unit, function, or enterprise. Best practice in data and analytics will be covered, supported by data architecture and risk management considerations. On completing this programme, you will be equipped to drive strategic business outcomes and take advantage of future developments in data and analytics.

How you will benefit

On successful completion of this programme, you will be able to:

- Describe and explain the challenges and opportunities provided by integrating data and advanced analytics in the provision and management of financial services
- Analyse the impact of a data driven culture and the business drivers in facilitating effective use of data and analytics tools and processes in financial services
- Identify the key features of an organisation which assists and empowers its personnel/ business units as they learn to use data and analytics in their everyday work and evaluate the procedures/new technologies within it
- Examine current practice pertaining to data and analytics in a financial services enterprise or unit to ensure a competitive service
- Communicate clearly to pertinent stakeholders the impact of data and analytics on financial services and products design and delivery
- Critically reflect on your professional role and contribution to financial organisations in the context of the application of data and analytics technologies and systems in financial services (and related sectors) and the implications arising from such developments.

Curriculum

You study three modules:

1. **Strategy and Culture in a Data and Analytics Environment**
2. **Talent and Assets Management**
3. **Driving Business Outcomes**

Each module carries 10 ECTS (academic credits).

Learning themes and topics

Module 1

- A data and analytics environment
- Business value with descriptive and predictive analytics
- The added value of big data
- Demystifying artificial intelligence
- Data map, key business & tech trends
- Building a data and analytics strategy
- Opportunity mapping
- The data and analytics mindset

Module 2

- Data strategy v data management
- Building a data and analytics team environment
- Meta data & data architecture
- Data quality management, master data management, document and content management
- Data management frameworks, Governance and metadata
- Risk mitigation, privacy, security, data governance maturity models, blockchain
- Data warehousing & business intelligence
- Future impact

Module 3

- History & background of AI
- Introduction to RPA, machine learning and NLP
- AI algorithims in practice in financial services
- AI Bias
- AI Ethics
- Resourcing AI
- AI strategy and governance
- Future of quantum computing
- Future of work

Award

When you successfully complete this programme, you will be awarded a Professional Diploma in Data and Analytics in Financial Services from UCD. This is a level 9 qualification on the National Framework of Qualifications.

Progression

This award is on the Pathway to MSc in Financial Services. Those wishing to continue their studies and achieve the MSc (90 ECTS credits) are required to complete two further Professional Diplomas (30 ECTS each). Further details at iob.ie/programme/msc-financial-services

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/data-analytics for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Diploma in Data and Analytics in Financial Services are as follows:

- An Honours degree (min. 2.2 L8 NFQ)

or

- Admission may also be considered for experienced professionals who do not meet the admission requirements as set out above, where they can demonstrate knowledge through their work i.e. they have more than 5 years' experience in a management role.

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees, closing dates and to apply online, visit iob.ie/programme/data-analytics

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

Lorraine Duane
Programme Manager
E: education@iob.ie

Professional Diploma in Digital Transformation in Financial Services

NFQ: Level 9
Academic credits: 30 ECTS
Modules: 3
Delivery: Online
Awarding body: UCD

IFS Skillnet

"This Professional Diploma in Digital Transformation in Financial Services offers a great blend of experienced lecturers across the three modules covering areas such as strategy, innovation and customer experience. Completing this programme has given me a comprehensive understanding of how to apply current digital transformation practices within my company."

John Leahy
Permanent TSB

Who is this programme for?

While financial services organisations have begun to embark on their digital transformation journeys, there are variances in the success of execution. Legacy systems, processes, and crucially, thought leadership, has significant impact on the success of transformation initiatives. Client expectations and business efficiencies are the two big drivers that are dominating the digital transformation agenda.

This programme is designed to give you the skills to succeed in the opportunistic environment that digital transformation presents, by enabling you to create a strategic transformation approach and to build a dynamic and agile culture in your team, unit or enterprise.

It is designed for business leaders and aspiring leaders to empower you with the skills to anticipate and respond to the changing market and to conceptualise, define and execute digital transformation. You will be equipped to build a transformation strategy including opportunity mapping and engaging internal and external stakeholders. You will understand the ecosystem and operating model of a digital organisation and develop the skills to help your organization to leverage the opportunities that exist.

How you will benefit

On successful completion of this programme, you will be able to:

- Explain the theory and practice underpinning Digital Transformation and the reasons why digital technology is changing business strategy
- Outline how the application of advanced analytics and cognitive technologies can drive operating efficiencies and enhance an organisation's value proposition
- Design a strategy for a radical simplification programme in a financial services organisation
- Ascertain and evaluate the strategic challenges and opportunities of Digital Transformation for financial services organisations (traditional and FinTechs)
- Communicate the current financial services ecosystem to specialist and non-specialists, along with illustrating how emerging technologies can impact and improve financial services organisations
- Critically reflect on your professional role and contribution to financial organisations in the context of Digital Transformation (DT) in financial services (and related sectors).

Curriculum

You study three modules:

1. **Strategy and Culture in a Digital Transformation Environment**
2. **Digital Assets and Operating Model**
3. **Designing and Delivering Digital Transformation**

Each module carries 10 ECTS (academic credits).

Learning themes and topics

Module 1

- Go digital or go home
- Forces driving digital transformation
- Dispelling the myths
- Disruption and digital business models
- Domains: customer and platform
- Data, innovation and value proposition
- Engaging the 'c' suite
- Staying the course

Module 2

- The building blocks of a digital business
- Winners and losers - customer at the core
- Domains: digital, data, and efficiency
- Execution choices and the ambidextrous paradigm
- Hardware and software
- The hum aspect
- Managing digital risk

Module 3

- Transformation programmes, tools and blueprints
- KPIs and dashboards
- Agile development
- Release management
- Change management and business readiness
- Value mapping and prioritization
- Benefit realisation

Award

When you successfully complete this programme, you will be awarded a Professional Diploma in Digital Transformation in Financial Services from UCD.

This is a level 9 qualification on the National Framework of Qualifications.

Progression

This award is on the Pathway to MSc in Financial Services. Those wishing to continue their studies and achieve the MSc (90 ECTS credits) are required to complete two further Professional Diplomas (30 ECTS each). Further details at iob.ie/programme/msc-financial-services

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/digital-transformation for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Diploma in Digital Transformation in Financial Services are as follows:

- An Honours degree (min. 2.2 L8 NFQ)

or

- Admission may also be considered for experienced professionals who do not meet the admission requirements as set out above, where they can demonstrate knowledge through their work i.e. they have more than 5 years' experience in a management role.

IFS Skillnet

Part funding may be available for eligible applicants through IFS Skillnet. For more information see iob.ie/info/supports-and-scholarships

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees, closing dates and to apply online, visit iob.ie/programme/digital-transformation

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

Lorraine Duane
Programme Manager
E: education@iob.ie

Graduate Diploma in Digital and Data Strategy in Financial Services

NFQ: Level 9
Academic credits: 60 ECTS
Modules: 6
Delivery: Online
Awarding body: UCD

"The lecturers were seasoned professionals and had a lot of interesting experience. After I completed the programme I changed jobs and moved to a new sector. The programme gave me the extra knowledge necessary for my new role and the confidence in my own abilities."

Saoirse Murray
PwC Ireland

Who is this programme for?

Navigating the changing nature of financial services requires first-hand knowledge of digital and data strategy, culture and operations. This programme gives leaders, and aspiring leaders in financial services, the tools and mindset to successfully lead in a digital and data era.

Advancing technologies have already changed the face of financial services as we know it, this programme gives you the knowledge you need to navigate the technologies currently disrupting financial services, and the skills that will help you navigate a successful Digital Transformation within your organisation. It also teaches you to harness the vast power of data and analytics, giving you the understanding and frameworks you need to develop better products and experiences for your clients, and produce better outcomes for your organisation, and your career.

How you will benefit

The programme aims to give you a solid grounding in the theories and practice pertaining to devising and managing / transforming the organisation's digital and data strategy.

On completing the Graduate Diploma in Digital and Data Strategy in Financial Services, you will be able to:

- Describe and explain the essence of data and digital strategy (theory and practice) and how outcomes shape digital transformation in an organisation
- Analyse the impact of a data driven culture and design, implement and evaluate a digital strategy for a radical simplification programme in a financial services organisation
- Communicate the current financial services ecosystem to stakeholders and illustrate how digital strategy (or lack of it) impacts on financial services firms' corporate strategy
- Evaluate the procedures / new technologies within an organisation which assist and empower its personnel / business units as they learn to use data and analytics in their everyday work
- Ascertain and respond to strategic challenges and opportunities of digital transformation for financial services organisations
- Assess your role within an organisation and your personal competencies and capabilities in advancing and progressing the role.

Curriculum

This programme runs over one academic year, and you will complete two modules per trimester:

Autumn Trimester (October – January)
Strategy and Culture in a Digital Transformation Environment

and

Strategy and Culture in a Data and Analytics Environment

Spring Trimester (February – May)
Digital Assets and Operating Model

and

Talent and Assets Management

Summer Trimester (June – September)
Designing and Delivering Digital Transformation

and

Driving Business Outcomes

Each module carries 10 ECTS (academic credits)

Award/Designation

When you successfully complete this programme, you will be awarded a Graduate Diploma in Digital and Data Strategy in Financial Services from UCD.

This is a level 9 qualification on the National Framework of Qualifications.

Progression

This award is on the Pathway to MSc in Financial Services. Further details at iob.ie/programme/msc-financial-services

Delivery and Assessment

This programme is delivered online and is assessed through continuous assessment.

Entry requirements

The minimum entry requirements to the Graduate Diploma in Digital and Data Strategy in Financial Services are as follows:

- Relevant Honours degree (min. 2.2 L8 NFQ).

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees, closing dates and to apply online, visit iob.ie/programme/digital-data-strategy

Contact us

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Programme Manager
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Professional Certificate in Data Protection (CDPO)

NFQ: Level 9
Academic credits: 10 ECTS
Module: 1
Delivery: Online
Awarding body: UCD
Designation: CDPO

IFS Skillnet | Compliance Institute

Who is this programme for?

There has been a significant increase in the complexity and volume of the regulation underpinning compliance in the area of data protection. As well as a thorough understanding of the regulatory and legislative framework, professional practice in the area of data protection requires judgements and decisions to be made for many scenarios.

The primary motivation underpinning the Professional Certificate in Data Protection is to provide a learning opportunity for compliance and related professions to develop the necessary skills to ensure that their organisations meet their current and future responsibilities in relation to data protection.

The programme has been designed in consultation with the office of the Data Protection Commissioner.

How you will benefit

This programme will support your personal and professional development. It will enable you to:

- Interpret contemporary legislation in the specific areas of data protection
- Use contemporary theories and practical evidence to design a data protection framework within your organisation
- Design, complete and report on major data protection projects which may be required to enhance your firm's positioning within the sector
- Justify the rationale behind recommendations in relation to data protection
- Maintain, develop and apply contemporary professional best practice and contribute to a personal ethos of life-long learning and self-improvement.

Professional designation

Members who complete the Professional Certificate in Data Protection, will be invited to apply for the designation CDPO – Certified Data Protection Officer and must meet CPD requirement.

Curriculum

You study one module:

1. **Data Protection Policies and Procedures**

This module carries 10 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Certificate in Data Protection from UCD.

This is a level 9 qualification on the National Framework of Qualifications.

The Professional Certificate in Data Protection forms part of the MSc in Compliance. Further information is available at iob.ie/programme/compliance-msc

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/data-protection for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Certificate in Data Protection are as follows:

- An Honours degree (min. 2.2) in a business, legal or IT discipline

or

- Those who do not hold a degree, but have exceptional professional experience in the area of data protection, are eligible to apply and will be considered on a case-by-case basis.

IFS Skillnet

Part funding may be available for eligible applicants through IFS Skillnet. For more information see iob.ie/info/supports-and-scholarships

Professional body membership

You must be a current member of the Compliance Institute, or become a member, to apply for this programme.

How to apply

For further information, including module content, fees, exam dates, closing dates and to apply online, visit iob.ie/programme/data-protection

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

Mary O'Dwyer
Programme Manager
E: education@iob.ie

Professional Certificate in Financial Crime Prevention (CFCP)

NFQ: Level 9
Academic credits: 10 ECTS
Module: 1
Delivery: Online
Awarding body: UCD
Designation: CFCP

"Studying the Professional Certificate in Financial Crime Prevention has greatly increased my knowledge of financial crime for use within my current role and future career development. High quality content and a very enjoyable and engaging experience. I would recommend this programme to anyone who works within the financial sector."

Alessia Damiano
HedgeServ

Who is this programme for?

There has been a significant increase in the complexity and volume of regulation underpinning compliance in the area of financial crime prevention. Consequently, practitioners operating in this complex environment have to fulfill their fiduciary responsibilities of ensuring ethical and legal compliance within this regulatory environment while contributing to wider organisational objectives.

The role of the Head of Compliance with responsibility for Anti-Money Laundering and Counter Terrorist Financing legislation, often the Money Laundering Reporting Officer (MLRO) in a regulated firm, is a Pre-Approval Control Function under the Central Bank Fitness and Probity regime. MLROs within firms carry serious legal responsibilities and those who fill the roles must know and understand what these responsibilities are.

Relevant law and standards apply both at a domestic and international level and material breaches can result in personal accountability for MLROs as well as significant reputational damage and fines for financial services firms. The wider damage caused by financial or white-collar crime to individuals, communities and the public at large is immeasurable. Effective prevention of fraud and financial crime, combined with thorough investigation if and when it does arise, yields very positive outcomes.

The Professional Certificate in Financial Crime Prevention has been carefully designed to equip MLROs and others who specialise in this area with the necessary competence to fill this role and is the only accredited qualification at this level.

This certificate has been designed in consultation with the Garda Bureau of Fraud Investigation and the Suspicious Transactions Unit in the Office of the Revenue Commissioners.

How you will benefit

This programme will support your personal and professional development. It will enable you to:

- Interpret contemporary legislation in the specific areas of financial crime prevention
- Design, complete and report on major financial crime prevention projects, which may be required to enhance your firm's positioning within the sector
- Determine, on an ongoing basis, the performance of a financial crime prevention framework within your firm's framework
- Apply professional knowledge and skills to investigate a suspected financial crime
- Maintain, develop and apply contemporary professional best practice.

Professional designation

Members who complete the Professional Certificate in Financial Crime Prevention, will be invited to apply for the designation CFCP - Certified Financial Crime Professional.

Curriculum

You study one module:

1. **Financial/White-Collar Crime Prevention**

This module carries 10 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Certificate in Financial Crime Prevention from UCD.

This is a level 9 qualification on the National Framework of Qualifications.

Those who successfully complete the Professional Certificate in Financial Crime Prevention, may wish to apply for the MSc in Compliance to progress their studies. Further information is available at iob.ie/programme/compliance-msc

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/financial-crime-prevention for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Certificate in Financial Crime Prevention are as follows:

- An Honours degree (min. 2.2 L8 NFQ) in a business, IT or relevant cognate discipline

or

- Those who do not hold a degree, but have exceptional professional experience in the areas of fraud, risk or compliance are eligible to apply and will be considered on a case-by-case basis.

IFS Skillnet

Part funding may be available for eligible applicants through IFS Skillnet. For more information see iob.ie/info/supports-and-scholarships

Professional body membership

You must be a current member of the Compliance Institute, or become a member, to apply for this programme.

How to apply

For further information, including module content, fees, exam dates, closing dates and to apply online, visit iob.ie/programme/financial-crime-prevention

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

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Programme Manager
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MSc in Compliance (FCI)

NFQ: Level 9
Academic credits: 90 ECTS
Modules: 9
Delivery: Online
Awarding body: UCD
Designation: FCI

"The educational programmes offered by IOB are rich in substance, and you can learn from industry experts and harness their practical knowledge from the comfort of your home. My learning journey with IOB equipped me to deal with the daily challenges of my profession with confidence. I highly recommend it to anyone trying to further their career in Compliance."

Raquel Boularot
Cook Medical

Who is this programme for?

The MSc in Compliance is designed to give Compliance and other assurance and control function professionals the knowledge and skills they need to implement effective compliance and enhance the overall internal governance structures of organisations.

This programme will provide you with the multi-disciplinary skills to participate more effectively in leading compliance functions and to understand the practical application of compliance best practice.

It has been developed for those who work in assurance and control functions and will be of particular interest to Compliance managers and senior leaders together with those aspiring to these roles as part of their own career development.

How you will benefit

This programme will support your personal and professional development. It will:

- Provide you with the opportunity to develop comprehensive knowledge and expertise across a broad curriculum in compliance and regulatory risk
- Develop an in-depth understanding of the requirements and responsibilities of ensuring robust compliance within financial services firms, while maintaining the trust of customers and wider stakeholders
- Develop your thinking on key business challenges and ethical dilemmas faced by management when striving to improve business performance in regulated environments
- Advance your ability to blend theory and practice in complex and specific situations to improve business performance
- Enhance your business judgement, critical analysis and problem solving skills.

Professional designation

Members who complete the MSc in Compliance, will be invited to become Fellows of the Compliance Institute and to use the designatory letters FCI subject to CPD requirements.

Curriculum

You study nine modules:

1. **Ethics and Corporate Governance (10 ECTS)**
2. **Managing for Compliance (10 ECTS)**
3. **Financial/White-Collar Crime Prevention (10 ECTS)**
4. **Data Protection Policies and Procedures (10 ECTS)**
5. **The Regulatory Landscape (10 ECTS)**
6. **Designing an Internal Governance Framework (10 ECTS)**
7. **Compliance Leadership (5 ECTS)**
8. **Research Methods and Methodology (5 ECTS)**
9. **Applied Project (20 ECTS)**

Award

When you successfully complete this programme, you will be awarded a MSc in Compliance from UCD.

This is a level 9 qualification on the National Framework of Qualifications.

Students who leave the programme on successful completion of the first six modules are eligible for the exit award Graduate Diploma in Compliance from UCD (postgraduate level 9).

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/compliance-msc for details on the assessment methods.

Entry requirements

The minimum entry requirements to the MSc in Compliance are as follows:

- An Honours degree (min. 2.2 L8 NFQ) in a relevant area in a business, legal or cognate discipline and at least 3 years' relevant work experience.

or

- Applicants who do not hold a primary degree but have relevant work experience at a middle or senior management level are eligible to apply and will be considered on a case-by-case basis.

IFS Skillnet

Part funding may be available for eligible applicants through IFS Skillnet. For more information see iob.ie/info/supports-and-scholarships

Professional body membership

You must be a current member of the Compliance Institute, or become a member, to apply for this programme.

How to apply

For further information, including module content, fees, exam dates, closing dates and to apply online, visit iob.ie/programme/compliance-msc

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

Mary O'Dwyer
Programme Manager
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Professional Diploma in Leading Cultural Change and Ethical Behaviour in Financial Services

NFQ: Level 9
Academic credits: 30 ECTS
Modules: 3
Delivery: Online
Awarding body: UCD

IFS Skillnet

"Despite there being a nine-year gap since I last studied with IOB, I have resparked my love of learning having recently completed this programme. I am continuing my studies and progressing on the Pathway to MSc in Financial Services. In doing so I have made connections with people from the financial services, insurance and broader non-financial services industries; broadening my thinking and finding new ways to solve old problems."

Alison McCormick
AIB

Who is this programme for?

The Professional Diploma in Leading Cultural Change and Ethical Behaviour in Financial Services is aimed at senior managers in financial services working across a range of roles and functions.

Deputy Governor of the CBI, Derville Rowland, highlighted the fundamental role of culture in ensuring appropriate consumer protections: "The Central Bank's mission is to safeguard stability and to protect consumers. One of the ways we do that is through the regulation of the behaviour of firms and the people who work in them. Trust and confidence in financial services and the system of regulation is vital. Our vision for a financial services system underpinned by a strong culture of compliance, with firms and the people working in those firms acting in the best interests of their customers..."

Research on behalf of the Dutch Central Bank shows that the behaviours and culture of a financial institution also influences its financial performance, with institutions with effective cultures outperforming those with less effective cultures.

The programme aims to develop your critical awareness in the areas of culture, behaviour, and ethics; to equip you with decision making, reflective and analytical skills to enable you to implement an effective culture that considers the intended and unintended consequences of decisions and policies for the consumer, shareholders, and society.

You will assimilate an understanding of ethical principles, corporate culture, values, group dynamics, cognitive bias and change management giving you a foundation to create corporate responses to commercial challenges in the context of customer focused culture as mandated by the Central Bank's consumer protection risk framework.

The programme has been designed in consultation with the Compliance Institute and other leading experts in the areas of Consumer Protection Risk Culture, Ethics and Behaviours.

How you will benefit

On completing this programme you will be able to:

- Explain the theory and practice underpinning culture, ethics, leadership, behavioural change, supervision in the context of financial services sector and institutions
- Outline the implications of ethical theories and behaviour and evaluate the intended and unintended consequences of proposed resolutions to ethical dilemmas and decisions affecting customers, shareholders and the public good
- Ascertain an institution's cultural behaviour, applying pertinent tools, models or frameworks
- Assess the impact of group dynamics and behaviours on board or team decision-making
- Evaluate existing policies and practices taking account of the commercial, consumer protection and societal imperatives in the context of delivering cultural change
- Appraise usefulness of moral rules, codes, standards or principals in leading and delivering cultural change
- Communicate to internal and external stakeholders how cultural and ethical behavioural change can be addressed and facilitated to deliver outcomes in the best interest of the company, customers and society
- Engage in self-reflection on ethical and cultural decision-making, in your role as leader of cultural change.

Curriculum

You study three modules:

1. **Ethical Practice in Financial Services**
2. **Behaviour and Organisation Culture**
3. **Leading and Implementing Cultural Change**

Each module carries 10 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Diploma in Leading Cultural Change and Ethical Behaviour in Financial Services from UCD.

This is a level 9 qualification on the National Framework of Qualifications.

Progression

This award is on the Pathway to MSc in Financial Services. Those wishing to continue their studies and achieve the MSc (90 ECTS credits) are required to complete two further Professional Diplomas (30 ECTS each). Further details at iob.ie/programme/msc-financial-services

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/leading-cultural-change for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Diploma in Leading Cultural Change and Ethical Behaviour in Financial Services are as follows:

- An Honours degree (min. 2.2 L8 NFQ)

or

- Admission may also be considered for experienced professionals who do not meet the admission requirements as set out above, where they can demonstrate knowledge through their work i.e. they have more than 5 years' experience in a management role.

IFS Skillnet

Part funding may be available for eligible applicants through IFS Skillnet. For more information see iob.ie/info/supports-and-scholarships

Professional body membership

You must be a current member of the Compliance Institute or IOB to apply for this programme.

How to apply

For further information, including module content, fees, closing dates and to apply online, visit iob.ie/programme/leading-cultural-change

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

Mikeala White
Programme Manager
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Professional Diploma in Advanced Banking Risk Management

NFQ: Level 9
Academic credits: 30 ECTS
Modules: 4
Delivery: Online
Awarding body: UCD

IFS Skillnet

Who is this programme for?

The Professional Diploma in Advanced Banking Risk Management is a specialist risk management programme specifically designed for senior risk professionals who are working in senior risk management or related roles in banking, financial institutions or providing risk management advisory services to banks.

This programme has been designed in conjunction with senior risk management and compliance professionals in banking. It covers a broad technical curriculum encompassing the key conceptual foundations of banking risk management and it will enable you to think strategically in order to manage the key risks in accordance with the bank's competitive advantages and risk appetite.

This programme is designed for:

- Banking and financial institutions' senior risk and compliance professionals who are members of the bank risk committees and related roles including, but not limited to, governance, risk, regulatory and liquidity committees
- Banking, financial and regulatory institutions' senior risk and compliance professionals who are working in risk and compliance roles including:

  Compliance - Credit review - Capital and credit modelling - Conduct risk - Asset and liability management - Market risk - Operational risk - Internal audit -Legal - Finance - Technology - Change management
- Risk professionals working in the Central Bank of Ireland, National Treasury Management Agency, accountants, business consultants, lawyers and other professionals who provide risk management advisory services.

How you will benefit

This programme will support your professional development and provide you with:

- At the strategic level, an understanding of the sources and nature of the key risks inherent in the banking model and the inter-relationships between those risks, the bank's competitive advantage, capital requirements, regulatory requirements and customer imperatives
- An understanding of corporate and risk governance and its relevance within the overall business context including global best practice governance standards
- The current global best practice risk management approaches used by banks to identify, measure, mitigate and manage risks in an enterprise-wide risk context
- The technical risk skills and knowledge to critically evaluate and implement practical solutions to the key risk challenges facing banks' senior management teams
- An understanding of the regulatory imperatives that drive risk management in banking and an understanding of what regulators are seeking to achieve.

Curriculum

You study four modules:

1. **Risk Governance, Culture, Business and Enterprise Risk Management (10 ECTS)**
2. **Regulatory and Economic Capital Management, Credit Risk and Pricing for Risk (5 ECTS)**
3. **Strategic Operational, Conduct and Reputational Risk Management (10 ECTS)**
4. **Asset and Liability Management (ALM), Liquidity and Market Risk (5 ECTS)**

Award

When you successfully complete this programme, you will be awarded a Professional Diploma in Advanced Banking Risk Management from UCD.

This is a level 9 qualification on the National Framework of Qualifications.

Progression

This award is on the Pathway to MSc in Financial Services. Those wishing to continue their studies and achieve the MSc (90 ECTS credits) are required to complete two further Professional Diplomas (30 ECTS each). Further details at iob.ie/programme/msc-financial-services

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/advanced-banking-risk-management for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Diploma in Advanced Banking Risk Management are as follows:

- An Honours degree (min 2.2 L8 NFQ)

or

- Admission may also be considered for experienced professionals who do not meet the admission requirements as set out above, where they can demonstrate knowledge through their work i.e. they have more than 5 years' experience in a management role.

IFS Skillnet

Part funding may be available for eligible applicants through IFS Skillnet. For more information see iob.ie/info/supports-and-scholarships

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees, exam dates, closing dates and to apply online, visit iob.ie/programme/advanced-banking-risk-management

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

Aisling O'Sullivan
Programme Manager
E: education@iob.ie

Professional Diploma in Advanced Operational Risk Management in Financial Services

NFQ: Level 9
Academic credits: 30 ECTS
Modules: 3
Delivery: Online
Awarding body: UCD

Who is this programme for?

The Professional Diploma in Advanced Operational Risk Management in Financial Services has been designed in conjunction with operational risk management professionals working in financial services and leading academics in operational risk management.

It will equip you with a deep, practical understanding of operational risk management frameworks and measurement methodologies in financial institutions. This qualification is the benchmark operational risk qualification recognised in the Irish financial services industry.

This programme is a practical operational risk management programme specifically designed for operational risk professionals. It covers a broad technical curriculum encompassing the key conceptual foundations of financial services risk management and it will enable you to think strategically in order to manage the key risks in accordance with financial services competitive advantages and risk appetite.

The programme is relevant to all risk related roles in financial services and to those who wish to develop a career in risk management. It will also be of interest to holders of a Professional Certificate or Diploma in compliance.

Specific job titles may include:

- Heads of Operational Risk
- Enterprise Risk Managers
- Operational Risk Managers
- Financial controllers
- Technology Manager
- Operations Managers
- Internal Auditors
- Compliance Officers
- Legal Officers
- Consultants
- Regulators.

The programme would also be of interest to financial institutions' frontline staff who wish to gain a deeper understanding of banking risk management practices including retail, business banking and corporate banking staff.

How you will benefit

This specialist programme will provide you with:

- A deep and practical understanding of the requirements and responsibilities of operational risk management
- Global best practice tools for the identification, assessment, measurement and management of operational risks
- Insights into latest academic thinking in areas such as AI applications to operational risk management and emerging machine learning models
- A thorough understanding of the key practical and relevant operational risks facing banking professionals in Ireland today including inter alia: financial crime prevention/AML/CFT, KYC, external and internal fraud, information security, IT resilience, cybercrime, outsourcing, business continuity planning, data quality, data protection, impact on capital and other practical areas
- Knowledge and skills to capture, report and investigate operational risk events, how to produce meaningful risk MI including Key Risk Indicator (KRI) data and trend analysis, and how to implement operational risk appetite
- Tools to identify, measure and mitigate risks and thereby improve business performance
- Enhanced business judgement, critical analysis and problem-solving skills.

Curriculum

You study three modules:

1. **Risk Governance, Culture, Business and Enterprise Risk Management**
2. **Operational Risk, the Capital Markets and AI**
3. **Strategic Operational Conduct and Reputational Risk Management**

Each module carries 10 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Diploma in Advanced Operational Risk Management in Financial Services from UCD. This is a level 9 qualification on the National Framework of Qualifications.

Progression

This award is on the Pathway to MSc in Financial Services. Those wishing to continue their studies and achieve the MSc (90 ECTS credits) are required to complete two further Professional Diplomas (30 ECTS each). Further details at iob.ie/programme/msc-financial-services

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/advanced-operational-risk for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Diploma in Advanced Operational Risk Management in Financial Services are as follows:

- An Honours degree (min. 2.2 L8 NFQ)

or

- Admission may also be considered for experienced professionals who do not meet the admission requirements as set out above, where they can demonstrate knowledge through their work i.e. they have more than 5 years' experience in a management role.

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees, exam dates, closing dates and to apply online, visit iob.ie/programme/advanced-operational-risk

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

Aisling O'Sullivan
Programme Manager
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Professional Diploma in Banking (Chartered Banker)

NFQ: Level 9
Academic credits: 30 ECTS
Modules: 3
Delivery: Online
Awarding body: UCD
Designation: Chartered Banker

Who is this programme for?

The Professional Diploma in Banking was introduced specifically to support the development of individuals in leadership positions, or those who aspire to leadership roles, in banking and financial services.

The programme exposes you to contemporary issues in bank governance within the context of banking risk and capital management. It brings together the latest thinking and concepts in governance and risk and the technical knowledge required to assess and manage the bank's assets and liabilities. Graduates will therefore have a robust conceptual understanding of bank management and the technical skills to implement that understanding in running the bank's business.

How you will benefit

This programme will support your personal and professional development whilst giving you a deep, integrated understanding of banking. It will:

- Broaden your knowledge and understanding of the requirements for responsible banking, and the management of banks in the interests of a wide range of stakeholders
- Enhance your business judgement, critical analysis and problem solving skills
- Develop your thinking on key business challenges and ethical dilemmas faced by management when striving to improve business performance
- Provide you with the opportunity to develop comprehensive knowledge and expertise across a broad curriculum relevant to financial institutions
- Develop a holistic professional skill set to ensure commercial sustainability and the maintenance of the trust of customers and wider stakeholders.

Professional designation

This programme satisfies the educational requirement for the prestigious and internationally recognised Chartered Banker designation. Chartered Banker is the gold standard for professionals working in the financial services sector. Chartered Bankers are highly qualified professionals, well equipped for a management career or leadership role.

Those who successfully complete this programme will be invited to apply for the Chartered Banker designation. However, to be eligible for the Chartered Banker designation, you will also have to satisfy the requirement of a minimum of three years' experience in banking or financial services.

Curriculum

You study three modules:

1. **Risk Management in Financial Institutions**
2. **Bank Governance and Regulation**
3. **Bank Capital and Asset Liability Management**

Each module carries 10 ECTS (academic credits).

Award

When you successfully complete this programme, you will be awarded a Professional Diploma in Banking from UCD.

This is a level 9 qualification on the National Framework of Qualifications.

Progression

This award is on the Pathway to MSc in Financial Services. Those wishing to continue their studies and achieve the MSc (90 ECTS credits) are required to complete two further Professional Diplomas (30 ECTS each). Further details at iob.ie/programme/msc-financial-services

Delivery and Assessment

This programme is delivered online, please visit iob.ie/programme/professional-diploma-banking for details on the assessment methods.

Entry requirements

The minimum entry requirements to the Professional Diploma in Banking are as follows:

- Individuals (non-degree holders) will have at least 6 years' minimum experience in a banking or financial services firm and hold the Professional Banker designation of IOB

or

- Admission will also be granted to individuals with an Honours degree (min. 2.2 L8 NFQ)

or

- Admission may also be considered for experienced professionals who do not meet the admission requirements as set out above, where they can demonstrate knowledge through their work i.e. they have more than 5 years' experience in a management role.

Continuing Professional Development

Once you hold the Chartered Banker designation you will be required to complete 6 CPD hours annually to maintain the designation.

We provide all of the required CPD content with a combination of online courses and events.

Professional body membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees, closing dates and to apply online, visit iob.ie/programme/professional-diploma-banking

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

Aisling Kellegher
Programme Manager
E: education@iob.ie

Executive Education

Certified Investment Fund Director Programme

(CIFD)

Certified Investment Fund Director (CIFD)

Modules: 10
Delivery: Blended
Awarding body: IOB
Designation: CIFD

cifd by iob

"The programme helped me to assemble all the strands of my many years of experience in the financial services industry. I listened, debated, reflected, read more and then gathered it all into one great informative closing journal. All in all a truly tremendous experience that has got me hooked again on learning."

Melíosa O'Caoimh
Country Head, Ireland – Northern Trust

Who is this programme for?

The CIFD programme is designed for:

- Investment Fund Directors
- Directors of Fund Management Companies
- Those with extensive fund/asset management experience who aspire to become Fund Directors and/or Directors of Fund Management Companies
- Those with extensive fund/asset management experience holding senior leadership positions in the funds industry.

The CIFD programme is delivered through an intensive combination of class-based, online, and self-directed learning. This is a blended learning programme, where class-based learning is supported by additional reading, online webinars and individual learning outside the classroom.

This approach allows for time constrained professionals to manage their own learning journey whilst still benefiting from the interactive and peer learning that a classroom environment provides.

The programme comprises 10 modules

Seven modules are delivered in the classroom and three are delivered online. The classroom-based modules are delivered over one block of five consecutive days' and are supplemented by assigned prereading material and, for some modules, short supporting webinars. The online modules are CAIA's Fundamentals of Alternative Investments, Risk Management and Board Oversight and the Custody and Board Oversight module. Although these three modules are delivered online only, their content is weaved throughout the delivery of the classroom-based modules.

Participants on the CIFD programme are given login access to a programme participant page. Through this page, participants will have access to the webinars and a library of reading material recommended by programme faculty. Webinars are provided at the start of the programme and participants will be provided with a detailed programme guide with a recommended timeline to follow as participants navigate through the programme. As participants, you will play an important role in the success of the programme. Outside the class room, engaging with the assigned reading and webinars is critical.

We estimate that approximately 70 hours of online and self-directed learning is required for this purpose. Inside the classroom, over the five-day block, active participation and discussion is facilitated and encouraged by lecturers. Contextualising the programme material in the day-to-day experiences of participants within the classroom is an integral ingredient of the CIFD programme.

What does the programme cover?

The programme's syllabus is intended to cover all key aspects of the value chain for funds and address the key governance issues faced by fund directors. The content delivered by the programme's faculty is dynamic - reflecting key prevailing industry topics and trends, such as the rise of sustainable finance and the evolving technology and digital landscape - to ensure participants are well equipped with the knowledge to become successful fund directors in the industry today.

Programme outcomes

On successful completion of this programme, participants will be equipped to:

- Critically evaluate the investment fund governance models they are involved with as a director or asset manager and identify gaps in the objectives, framework and process
- Articulate the objectives of investment fund governance
- Implement an effective investment fund governance framework
- Enhance the effectiveness of the interrelationships among the fund board, management company board, and fund service providers, including delegates linked to the fund promoter
- Understand the behaviours required from well-functioning boards and individual directors.

Curriculum

You study 10 modules as follows:

1. (Online) Fundamentals of Alternative Investments
2. (Online) Risk Management and Board Oversight
3. Investment Fund Governance I and II
4. Fund Distribution and Board Oversight
5. Transfer Agency and Board Oversight
6. Portfolio Management and Board Oversight
7. Investment Fund Valuation and Board Oversight
8. Analysis of Fund Financial Statements
9. Investment Fund Regulation
10. (Online) Custody and Board Oversight

Award

When you successfully complete this programme, you will be awarded a Certified Investment Fund Director (CIFD).

Delivery and Assessment

Please visit iob.ie/programme/certified-investment-fund-director for details on delivery and assessment methods.

Designation

On successful completion, you will be invited to apply for the CIFD designation.

Continuing Professional Development (CPD)

CIFDs are required to complete six hours of continuing professional development each year.

How to apply

As the number of places on the programme is restricted, early applications are encouraged. It is recommended that candidates speak to the Relationship Manager prior to submitting an application for the programme.

Contact us

For further information on the CIFD programme and/or to arrange to speak to our Relationship Manager, please visit iob.ie/programme/certified-investment-fund-director or contact:

Kate Walsh
Relationship Manager
E: kate.walsh@iob.ie

Membership and Professional Designations - your badge of excellence

Membership and Professional standards

IOB's mission is to make a defining contribution to the renewal of professional standards and standing of Irish banking and financial services to the ultimate benefit of customers and society. Professional members of IOB are committed to upholding the best standards of integrity, professionalism, propriety, objectivity and fairness.

We believe that education is the bedrock of any profession and the basis of professional standards.

Professional Designations - what are they?

Professional designations are a recognition of educational achievement and professional standing. They are an endorsement of academic qualifications, professional knowledge and experience. They are your badge of excellence in your profession. On successful completion of a relevant qualification you will be invited to the appropriate professional designation. Subject to satisfying its Continuing Professional Development (CPD) requirements, holders of designations are entitled to use certain qualifying letters or titles after their name. Examples are Accredited Funds Professional, Chartered Banker, Professional Banker and Qualified Financial Adviser (QFA). IOB awards a number of professional designations.

Why are they important?

IOB's programmes and the designations leading from them are portable and several of them are leading international designations with world-wide reputation.

Designations are important because they meet the expectations of customers and regulatory requirements.

What role does CPD play and why is it necessary?

Learning and professional development do not stop once you have obtained your qualification, they are the basis of lifelong learning keeping you up-to-date as a professional through ongoing CPD. It is a process which continues for the rest of your professional life to ensure that you can keep up-to-date and maintain your professional knowledge, skills and competence. CPD is therefore central to sustaining a professional designation and integral to lifelong learning.

CPD is a mandatory regulatory requirement to maintain certain banking and financial services designations under the Central Bank of Ireland's Minimum Competency Code.

IOB offers a comprehensive range of CPD online programmes, seminars, events and other services. IOB has the largest CPD management system in Ireland with over 23,800 registered members.

Accredited Funds Professional

Accredited Funds Professional is a designation developed in conjunction with Irish Funds. The professional designation awarded by IOB to those who have successfully completed the Professional Certificate in International Investment Funds Services (or the previously named programmes; Certificate in Mutual Funds, Professional Certificate in Investment Fund Services and Specialist Certificate in Investment Fund Services), the Professional Certificate for Designated Persons in a Fund Management Company, or have at least four years relevent industry experience.

As an Accredited Funds Professional you undertake to meet ongoing Continuing Professional Development requirements and are entitled to use the designation 'Accredited Funds Professional'.

Routes:
Professional Certificate in International Investment Funds Services (see page 21)
Professional Certificate for Designated Persons in a Fund Management Company (see page 35)

Chartered Banker

Chartered Banker is the gold standard, internationally recognised professional designation, awarded jointly by IOB and the Chartered Banker Institute (Scotland). Chartered Bankers are recognised as well equipped for a leadership role in financial services.

Designates who complete the requisite Continuing Professional Development are entitled to use the designation 'Chartered Banker'.

Route:
Professional Diploma in Banking (see page 49)

Certified Investment Fund Director (CIFD)

Those who complete the Certified Investment Fund Director programme and who, as members of CIFD Institute, complete the requisite Continuing Professional Development will be entitled to use the designation Certified Investment Fund Director.

Route:
Certified Investment Fund Director programme (see page 51)

Licentiate of the Compliance Institute (LCI)

LCI is awarded to those who hold the Professional Diploma in Compliance (PDC). The PDC and attaching LCI designation is one of the recognised qualifications under the Central Bank of Ireland's Minimum Competency Code for adjudicating on complaints in relation to advice about a retail financial product. As members of the Compliance Institute, LCI designates who complete the requisite Continuing Professional Development are entitled to use the designatory letters 'LCI'. There are more than 1,450 LCIs currently in Ireland.

Route:
Professional Diploma in Compliance (see page 27)

Fellow of the Compliance Institute (FCI)

FCI is the highest professional designation awarded by the Compliance Institute. Fellowship is awarded to those who have successfully completed the MSc in Compliance and who, as members of the Compliance Institute, undertake to meet ongoing Continuing Professional Development requirements. Fellows are entitled to use the designation 'FCI'.

Route:
MSc in Compliance (see page 45)

Certified Data Protection Officer (CDPO)

Those who complete the Professional Certificate in Data Protection programme and who, as members of the Compliance Institute, complete the requisite Continuing Professional Development will be entitled to use the designation 'CDPO' - Certified Data Protection Officer.

Route:
Professional Certificate in Data Protection (see page 43)

Certified Financial Crime Prevention Practitioner (CFCP)

Those who complete the Professional Certificate in Financial Crime Prevention programme and who, as members of the Compliance Institute, complete the requisite Continuing Professional Development will be entitled to use the designation 'CFCP' - Certified Financial Crime Professional.

Route:
Professional Certificate in Financial Crime Prevention (see page 44)

Study Supports

How we can help you get the best from your programme

We are committed to providing a wide range of educational supports to help you with your studies. You should start using these at the beginning of the trimester to gain maximum benefit. Students who start studying earlier, perform better.

Learning supports available

The specific learning supports provided for each module on a programme vary depending on the nature of the module. You should check IOB Learn regularly during your studies for module news, study and lecture material and other resources.

Study Guide

All modules are accompanied by a Study Guide which contains key information about the module. This online document:

- introduces you to the module you are studying
- displays the syllabus and the learning outcomes
- describes the assessment requirements for the module, for the exam and/or continuous assessment.

Textbook

Most modules have a recommended textbook containing key content. Your module study guide will indicate what chapters should be read in preparation for the various workshops and/or will prompt you on a suggested schedule for studying this content.

eLearning

Many of our modules are accompanied by eLearning content. This content is available 24/7 and is a key support in studying and revising module material as it can be viewed as many times as required.

Chapter Weightings - Multiple Choice Question (MCQ) Modules

Study guides for modules with end of trimester MCQ exams include the exam chapter weightings. The exam chapter weighting is broadly proportionate to the weighting of questions in the exam paper, i.e. how many questions will appear from each chapter. This is intended to help you to prioritise your studies.

Live Webinars

A number of modules have interactive online live webinars with a lecturer working through module content. You can request specific areas to be covered and ask questions in advance. During the webinar itself, you will have the opportunity to interact with the lecturer online and they will review the questions received during the session.

Past and Sample Papers

Past or sample examination papers are made available in IOB Learn For modules with written exams, there are also past papers available to help you prepare for your exam. For modules assessed by MCQ's, there are sample questions and papers available as past exam questions are not published.

Student Discussion Forums

Some modules have forums allowing students to discuss module content, assignment progress and other module information. Further information will be available on IOB Learn.

Student Handbook

There are handbooks for programmes at all levels which contain key information about the programmes, assessment guidelines and regulations, and also information on the submission of online assignments.

Email support

Each module is managed by a programme manager who will be in touch with you regularly throughout the trimester to help you progress through your module. Their emails will help you prepare for workshops, prompt you on important dates and generally keep you on track. You can also contact your Programme Manager anytime by emailing education@iob.ie.

Terms and Conditions

Entry Criteria

- Applicants to a programme are required to meet the entry criteria as stated in the programme overview of this prospectus, or the Requirements Section of the programme page on iob.ie before registration.
- Where required, you should apply for a programme through iob.ie and provide the information requested. If you are successful in your application, you will be enrolled to the programme. At that point you will be able to register online for your module(s), which requires full payment for your module(s) at registration.
- If you are applying to a programme, the verification of documentation relating to admissions criteria (for example transcripts, work references) may be required and so early application is strongly recommended.
- Applications from members who do NOT meet the standard admission requirements in particular often require extra time for verification and processing. A non standard application form along with a CV is normally required in these cases. It is the applicant's responsibility to submit full documentation as part of the application. Information required is outlined on the individual programme page at iob.ie.
- Level 7 programme entry requirements: The second level educational requirements for employment in financial institutions are normally acceptable for entry (unless there are specific entry requirements stated in the programme overview in this prospectus). These educational requirements are five passes in the Leaving Certificate including English and Mathematics or Five O Level or GCSE passes including English Language and Mathematics.

  Mature applicants, who are over 23 years of age on the proposed year of entry, are exempt from these entry criteria.

  Applicants under 23 years of age on the proposed year of entry are required to send in a copy of their Leaving Certificate/GCSE results.
- Level 8 and 9 programme entry requirements: Level 8 and 9 entry requirements are specified under each programme. If prior learning in other institutions is being used as grounds for entry, programme enrolment is considered provisional until an original transcript is received. A transcript of results is a formal document from an institution listing the modules studied, credits involved, grades achieved and final category of award. Note, a parchment cannot be accepted as the equivalent.
- English Language Requirements: All IOB programmes are delivered through English and students are expected to be proficient in English. This proficiency is typically evidenced by use in the daily working environment, through passing English as a subject in the Irish Leaving Certificate Examination/equivalent, by achieving the minimum standard in a recognised English language test or through other documented verifiable means. This minimum standard is an IELTS score of 6.5 (minimum 6.0 in each band in the academic version) or equivalent.
- Information on how to apply to a programme and/or register to modules is available on iob.ie including closing dates for all programmes.

Enrolment

- Enrolment and Membership: To enrol to an IOB programme you must become and remain a current member of IOB. The membership fee applies for the calendar year and new membership is payable on enrolment. It is then payable annually and falls due on 1 January each year.

Compliance Institute Membership

- If registering for modules on the Professional Certificate or Diploma in Compliance, Professional Certificate in Financial Crime Prevention, Professional Certificate in Data Protection, MSc in Compliance, a yearly membership fee to the Compliance Institute is applicable, payable directly to the Compliance Institute and students are required to provide evidence of membership on enrolment to the programme.

Fees

- All programme fees are payable in full in advance, using online payment in IOB Learn, (accessed at iob.ie via the relevant programme page), or through an approved Employer Funding Scheme. Students are not considered registered until your modules have been paid for in full. Where an employer does not approve funding or withdraws funding, students will be withdrawn from the module. All matters in relation to employer funding should be discussed directly with the employer.
- Module pricing is subject to change for each academic year.

Withdrawal

- Students may withdraw from a module within 6 weeks of the start of each trimester. After this date, **fees are not refundable**. Students withdrawing from their first module will be required to apply to the programme again, where relevant. Students without an active module registration are required to follow leave of absence procedures available from iob.ie/info/student-info#exams-and-regulations.

UCD Accredited Programmes

- Programmes outlined in this prospectus are governed by UCD academic regulations and information on these regulations and related procedures is available from iob.ie. This information relates to areas such as applying for recognised prior learning, recommended student workload, leave of absence requirements, applying for extenuating circumstances, provision of alternative exam accommodations and assessment regulations. Questions can also be sent to info@iob.ie.

Minimum Numbers

- All programmes are subject to minimum numbers and IOB reserves the right to cancel a programme if there are insufficient numbers. All dates are subject to change and in such cases amended dates will be published at iob.ie.

Programme Updates and Amendments

- The accredited and non-accredited programmes are currently under review and may result in changes to programme learning outcomes and module learning outcomes. All updates will be confirmed in August at the beginning of the 2023/2024 academic year and will be added to the prospectus and outlined on the relevant programme pages at iob.ie.

Exams

- Exam dates are provided at time of registration and are subject to change. In the unlikely event of an exam date change, all registered students will be communicated with.

Contact Details

- It is the students responsibility to ensure their mobile number and contact details are up to date using the Member Resources section in IOB Learn. We communicate with students through SMS, email and through the Correspondence section of IOB Learn.
- Note: Students on a UCD accredited programme will receive a parchment to the address provided at the time of registration. Students should regularly check IOB Learn for communications and information and to update their contact details to ensure accuracy.

Programme Participation

- IOB programmes are largely delivered and assessed online. Students should ensure they have appropriate equipment (laptop) and that appropriate software (including MSOffice: Office and Word) is available to them in order to participate in the programme and related assessments (continuous assessments and exams). Where equipment is provided by an employer, there may be security restrictions on the device which prevent downloading of apps required for exams. Further information is available from iob.ie/info/student-info and it is the student's responsibility to ensure they have access to a suitable device.
- Programmes with face to face lectures/workshops will have that information stated on the programme page.
- By enrolling on the programme, students are signing up to the academic regulations of the programme including the code of conduct, available from iob.ie/info/student-info.

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